

# Microcanonical ensemble [tln49]

Consider an isolated classical system (volume  $V$ ,  $N$  particles, internal energy  $U$ ). The goal is to determine the thermodynamic potential  $U(S, V, N)$  pertaining to that situation, from which all other thermodynamic properties can be derived.

$$\text{Maximize Gibbs entropy } S = -k_B \int_{U \leq H(\mathbf{x}) \leq U+\Delta} d^{6N} X \rho(\mathbf{X}) \ln[C_N \rho(\mathbf{X})]$$

$$\text{subject to the constraint } \int_{U \leq H(\mathbf{x}) \leq U+\Delta} d^{6N} X \rho(\mathbf{X}) = 1 \text{ (normalization).}$$

Apply calculus of variation with one Lagrange multiplier:

$$\delta \int d^{6N} X \{-k_B \rho \ln[C_N \rho] + \alpha_0 \rho\} = 0$$

$$\Rightarrow \int d^{6N} X \delta \rho \{-k_B \ln[C_N \rho] - k_B + \alpha_0\} = 0.$$

$$\Rightarrow \rho(\mathbf{X}) = \frac{1}{C_N} \exp\left(\frac{\alpha_0}{k_B} - 1\right) = \text{const} = \begin{cases} \Omega_\Delta^{-1} & U \leq H(\mathbf{X}) \leq U + \Delta \\ 0 & \text{otherwise,} \end{cases}$$

where  $\Omega_\Delta(U, V, N) = \int_{U \leq H(\mathbf{x}) \leq U+\Delta} d^{6N} X$  is the volume of the energy shell.

$$\text{Thermodyn. potentials: } S(U, V, N) = k_B \ln \left[ \frac{\Omega_\Delta(U, V, N)}{C_N} \right] \Rightarrow U(S, V, N).$$

The dependence of  $S$  or  $U$  on the energy width  $\Delta$  is undesirable and can, in fact, be avoided. If we replace the shell volume  $\Omega_\Delta(U, V, N)$  by the entire volume inside the shell,  $\Omega(U, V, N)$ , the resulting expression for  $S(U, V, N)$  differs only by a term  $\propto \ln N$ , which is negligible in macroscopic systems.

$$\Rightarrow S(U, V, N) = k_B \ln \left[ \frac{\Omega(U, V, N)}{C_N} \right], \text{ where } \Omega(U, V, N) = \int_{H(\mathbf{x}) \leq U} d^{6N} X.$$

Consider an isolated quantum system:  $H|n\rangle = E_n|n\rangle$ .

$$\text{Maximize } S = -k_B \sum_{E_n < U} p_n \ln p_n \text{ subject to the constraint } \sum_{E_n < U} p_n = 1.$$

$$\Rightarrow p_n = \frac{1}{N_{<}(U)} \text{ where } N_{<}(U) = \sum_{E_n < U} 1. \Rightarrow S = k_B \ln[N_{<}(U)].$$