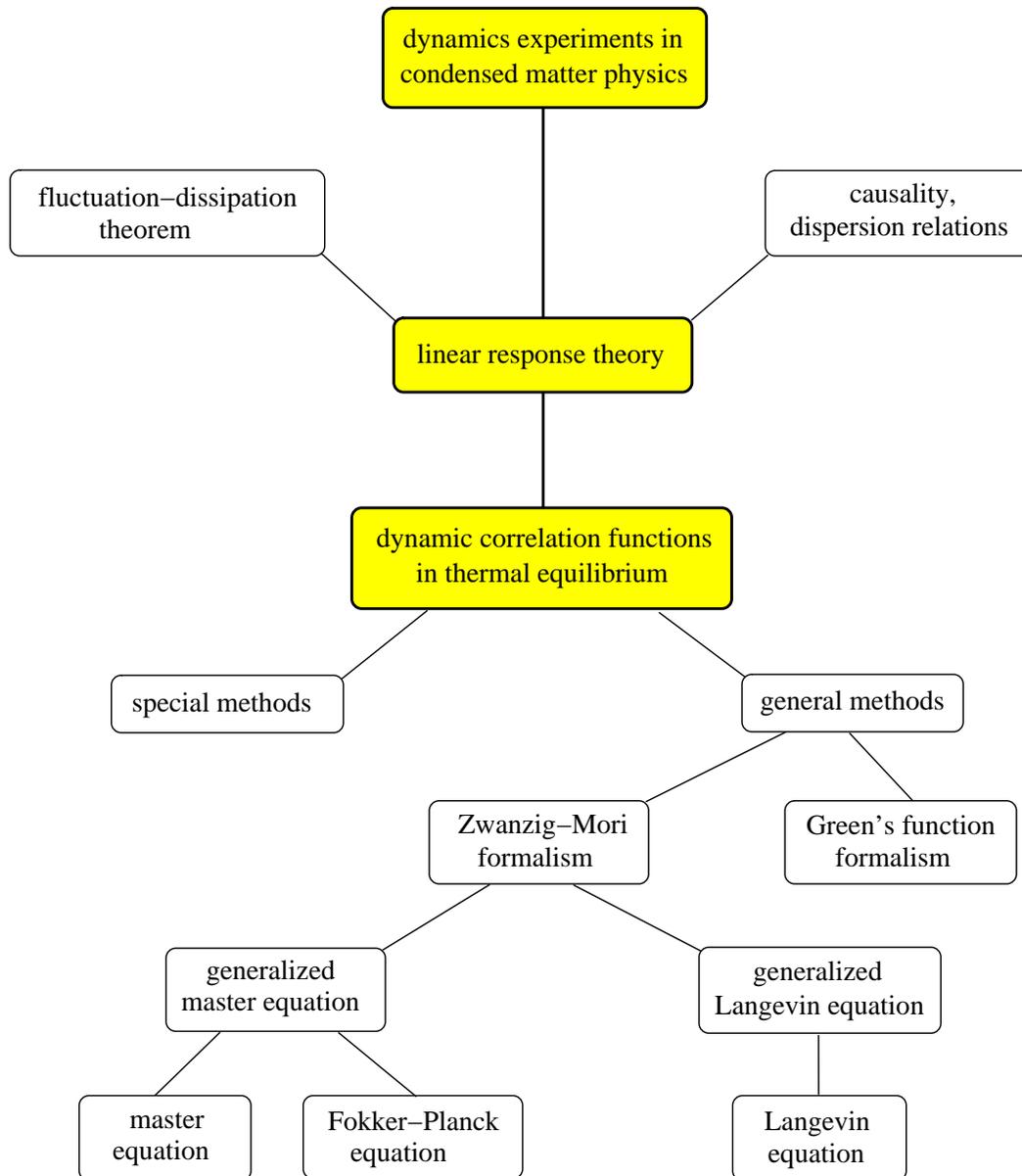


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Many-body system perturbed by radiation field [ln25]

Quantum many-body system in thermal equilibrium.

Hamiltonian: \mathcal{H}_0 .

Density operator: $\rho_0 = Z_0^{-1} e^{-\beta \mathcal{H}_0}$ with $\beta = 1/k_B T$, $Z_0 = \text{Tr}[e^{-\beta \mathcal{H}_0}]$.

Dynamical variable: A (describing some attribute of system).

Heisenberg equation of motion: $\frac{dA}{dt} = \frac{i}{\hbar} [\mathcal{H}_0, A]$.

Time evolution: $A(t) = e^{i\mathcal{H}_0 t/\hbar} A e^{-i\mathcal{H}_0 t/\hbar}$ (formal solution).

Stationarity, $[\rho_0, \mathcal{H}_0] = 0$, implies time-independent expectation values:

$$\langle A(t) \rangle_0 = \frac{1}{Z_0} \text{Tr} [e^{-\beta \mathcal{H}_0} e^{i\mathcal{H}_0 t/\hbar} A e^{-i\mathcal{H}_0 t/\hbar}] = \frac{1}{Z_0} \text{Tr} [e^{-\beta \mathcal{H}_0} A] = \text{const.}$$

Time-dependent quantities do exist in thermal equilibrium!

Dynamic correlation function: $\langle A(t)A(0) \rangle_0 = \frac{1}{Z_0} \text{Tr} [e^{-\beta \mathcal{H}_0} e^{i\mathcal{H}_0 t/\hbar} A e^{-i\mathcal{H}_0 t/\hbar} A]$

In an experiment the system is necessarily perturbed:

$$\mathcal{H}(t) = \mathcal{H}_0 - b(t)B,$$

where $b(t)$ is some kind of radiation field (c-number) and B is the dynamical system variable (operator) to which the field couples.

Examples:

$b(t)$	B
magnetic field	magnetization
electric field	electric polarization
sound wave	mass density

Linear response [nlh26]

Radiation field $b(t)$ perturbs equilibrium state of the system \mathcal{H}_0 via coupling to dynamical variable B .

System response to perturbation measured as expectation value of dynamical variable A .

Linear response to weak perturbations is predominant under most circumstances (away from criticality).

Response function $\tilde{\chi}_{AB}(t)$ (definition):

$$\langle A(t) \rangle - \langle A \rangle_0 = \int_{-\infty}^{\infty} dt' \tilde{\chi}_{AB}(t-t') b(t').$$

- Linearity: $\tilde{\chi}_{AB}(t)$ is independent of $b(t)$.
- Hermiticity: $\tilde{\chi}_{AB}(t)$ is a real function.
- Causality: $\tilde{\chi}_{AB}(t) = 0$ for $t < 0$.
- Smoothness: $|\tilde{\chi}_{AB}(t)| < \infty$.
- Analyticity: $\tilde{\chi}_{AB}(t) \rightarrow 0$ for $t \rightarrow \infty$.

Generalized susceptibility (via Fourier transform):

$$\chi_{AB}(\zeta) = \int_{-\infty}^{+\infty} dt e^{i\zeta t} \tilde{\chi}_{AB}(t) \quad (\text{analytic for } \Im\{\zeta\} > 0).$$

Complex function of real frequency:

$$\chi_{AB}(\omega) = \lim_{\epsilon \rightarrow 0} \chi_{AB}(\omega + i\epsilon) = \chi'_{AB}(\omega) + i\chi''_{AB}(\omega).$$

Linear response in frequency domain means no mixing of frequencies:

$$\alpha(\omega) = \chi_{AB}(\omega)\beta(\omega),$$

where

$$\tilde{\chi}_{AB}(t) = \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} e^{-i\omega t} \chi_{AB}(\omega), \quad b(t) = \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} e^{-i\omega t} \beta(\omega),$$

$$\langle A(t) \rangle - \langle A \rangle_0 = \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} e^{-i\omega t} \alpha(\omega).$$

Kubo formula for response function [nl27]

Interaction representation for time evolution of $\mathcal{H}(t) = \mathcal{H}_0 - b(t)B$:

$$\begin{aligned}\frac{dA}{dt} &= \frac{i}{\hbar}[\mathcal{H}_0, A] \quad \Rightarrow \quad A(t) = e^{i\mathcal{H}_0 t/\hbar} A e^{-i\mathcal{H}_0 t/\hbar}, \\ \frac{dB}{dt} &= \frac{i}{\hbar}[\mathcal{H}_0, B] \quad \Rightarrow \quad B(t) = e^{i\mathcal{H}_0 t/\hbar} B e^{-i\mathcal{H}_0 t/\hbar}, \\ \frac{d\rho}{dt} &= -\frac{i}{\hbar}[-b(t)B, \rho] \quad \Rightarrow \quad \rho(t) = \rho_0 + \frac{i}{\hbar} \int_{-\infty}^t dt' b(t') [B(t'), \rho(t')].\end{aligned}$$

Set $\rho(t) = \rho_0 + \rho_1(t)$ with $\rho_0 = Z_0^{-1} e^{-\beta\mathcal{H}_0}$.

Full response: $\langle A(t) \rangle - \langle A \rangle_0 = \text{Tr}\{\rho_1(t)A(t)\}$

Leading correction to ρ_0 : $\rho_1(t) \simeq \frac{i}{\hbar} \int_{-\infty}^t dt' b(t') [B(t'), \rho_0]$

Linear response:

$$\begin{aligned}\langle A(t) \rangle - \langle A \rangle_0 &= \frac{i}{\hbar} \int_{-\infty}^t dt' b(t') \text{Tr}\{[B(t'), \rho_0]A(t)\} \\ &= \frac{i}{\hbar} \int_{-\infty}^t dt' b(t') \text{Tr}\{\rho_0[A(t), B(t')]\} \\ &= \frac{i}{\hbar} \int_{-\infty}^t dt' b(t') \langle [A(t), B(t')] \rangle_0.\end{aligned}$$

Compare with definition of response function in [nl26].

Kubo formula:

$$\tilde{\chi}_{AB}(t - t') = \frac{i}{\hbar} \theta(t - t') \langle [A(t), B(t')] \rangle_0.$$

- Causality requirement is ensured by step function $\theta(t - t')$.
- Hermitian A, B imply Hermitian $i[A, B]$. Hence $\tilde{\chi}(t)$ is real.
- Linear response depends only on equilibrium quantities.
- Response function only depends on time difference $t - t'$.

The Kubo formula establishes a general link between

- the dynamical properties of a many-body system at equilibrium,
- the dynamical response of that system to experimental probes.

Symmetry properties [nl30]

Response function for Hermitian A is real and vanishes for $t < 0$:

$$\tilde{\chi}_{AA}(t) = \frac{i}{\hbar} \theta(t) \langle [A(t), A] \rangle = \tilde{\chi}'_{AA}(t) + i\tilde{\chi}''_{AA}(t).$$

Reactive part is real and symmetric:

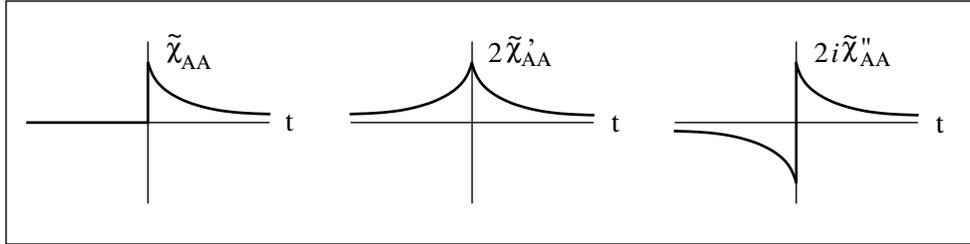
$$\tilde{\chi}'_{AA}(t) = \frac{1}{2} [\tilde{\chi}_{AA}(t) + \tilde{\chi}_{AA}(-t)] = \frac{i}{2\hbar} \text{sgn}(t) \langle [A(t), A] \rangle.$$

Dissipative part is imaginary and antisymmetric:

$$\tilde{\chi}''_{AA}(t) = \frac{1}{2i} [\tilde{\chi}_{AA}(t) - \tilde{\chi}_{AA}(-t)] = \frac{1}{2\hbar} \langle [A(t), A] \rangle.$$

Response function is determined by its reactive or dissipative part alone:

$$\tilde{\chi}_{AA}(t) = 2\theta(t)\tilde{\chi}'_{AA}(t) = 2i\theta(t)\tilde{\chi}''_{AA}(t).$$



Generalized susceptibility is complex:

$$\chi_{AA}(\omega) = \chi'_{AA}(\omega) + i\chi''_{AA}(\omega).$$

Real part is symmetric:

$$\chi'_{AA}(\omega) = \frac{1}{2} [\chi_{AA}(\omega) + \chi_{AA}(-\omega)] = \chi'_{AA}(-\omega).$$

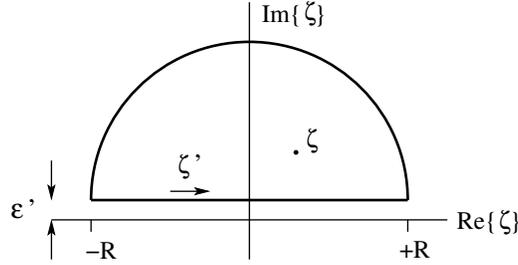
Imaginary part is antisymmetric:

$$\chi''_{AA}(\omega) = \frac{1}{2i} [\chi_{AA}(\omega) - \chi_{AA}(-\omega)] = -\chi''_{AA}(-\omega).$$

Kramers-Kronig dispersion relations [nl37]

Use analyticity of $\chi_{AA}(\zeta)$ for $\Im\{\zeta\} > 0$.

Cauchy integral:
$$\chi_{AA}(\zeta) = \frac{1}{2\pi i} \int_C d\zeta' \frac{\chi_{AA}(\zeta')}{\zeta' - \zeta}.$$



Integral converges for $\zeta' = \omega' + i\epsilon'$, $\epsilon' \rightarrow 0$.

Integral along semi-circle vanishes for $R \rightarrow \infty$:

Sum rule implies $\chi_{AA}(\zeta) \lesssim |\zeta|^{-1}$ for $|\zeta| \rightarrow \infty$.

$$\Rightarrow \chi_{AA}(\zeta) = \frac{1}{2\pi i} \int_{-\infty}^{+\infty} d\omega' \frac{\chi_{AA}(\omega')}{\omega' - \zeta}.$$

Set $\zeta = \omega + i\epsilon$ and use $\lim_{\epsilon \rightarrow 0} \frac{1}{\omega' - \omega \mp i\epsilon} = \text{P} \frac{1}{\omega' - \omega} \pm i\pi\delta(\omega' - \omega)$.

$$\begin{aligned} \chi_{AA}(\omega) &= \lim_{\epsilon \rightarrow 0} \chi_{AA}(\omega + i\epsilon) = \lim_{\epsilon \rightarrow 0} \frac{1}{2\pi i} \int_{-\infty}^{+\infty} d\omega' \frac{\chi_{AA}(\omega')}{\omega' - \omega - i\epsilon} \\ &= \frac{1}{2\pi i} \text{P} \int_{-\infty}^{+\infty} d\omega' \frac{\chi_{AA}(\omega')}{\omega' - \omega} + \underbrace{\frac{1}{2} \int_{-\infty}^{+\infty} d\omega' \chi_{AA}(\omega') \delta(\omega' - \omega)}_{\frac{1}{2}\chi_{AA}(\omega)}. \end{aligned}$$

$$\Rightarrow \chi_{AA}(\omega) \doteq \chi'_{AA}(\omega) + i\chi''_{AA}(\omega) = \frac{1}{\pi i} \text{P} \int_{-\infty}^{+\infty} d\omega' \frac{\chi_{AA}(\omega')}{\omega' - \omega}.$$

Consider real and imaginary parts of this relation separately:

$$\chi'_{AA}(\omega) = \frac{1}{\pi} \text{P} \int_{-\infty}^{+\infty} d\omega' \frac{\chi''_{AA}(\omega')}{\omega' - \omega}, \quad \chi''_{AA}(\omega) = -\frac{1}{\pi} \text{P} \int_{-\infty}^{+\infty} d\omega' \frac{\chi'_{AA}(\omega')}{\omega' - \omega}.$$

The Kramers-Kronig relations are a consequence of the causality property of the response function.

[nex63] Causality property of response function.

The Kramers-Kronig dispersion relations

$$\chi'_{AA}(\omega) = \frac{1}{\pi} \int_{-\infty}^{\infty} d\omega' \frac{\chi''_{AA}(\omega')}{\omega' - \omega}, \quad \chi''_{AA}(\omega) = -\frac{1}{\pi} \int_{-\infty}^{\infty} d\omega' \frac{\chi'_{AA}(\omega')}{\omega' - \omega}$$

between the reactive part $\chi'_{AA}(\omega)$ and the dissipative part $\chi''_{AA}(\omega)$ of the generalized susceptibility $\chi_{AA}(\omega)$ are a direct consequence of the causality property of the response function $\tilde{\chi}_{AA}(t)$. Show that $\chi_{AA}(\zeta)$ for $\Im(\zeta) > 0$ can be expressed in terms of $\chi''_{AA}(\omega)$ as follows:

$$\chi_{AA}(\zeta) = \frac{1}{\pi} \int_{-\infty}^{\infty} d\omega \frac{\chi''_{AA}(\omega)}{\omega - \zeta}.$$

Solution:

Energy transfer [nl38]

Hamiltonian of system and interaction with radiation field:

$$\mathcal{H}(t) = \mathcal{H}_0 + \mathcal{H}_1(t) = \mathcal{H}_0 - a(t)A.$$

Interaction between system and radiation field involves energy transfer.

Rate at which average energy of system changes:

$$\frac{d}{dt}\langle\mathcal{H}_0\rangle = \frac{1}{i\hbar}\langle[\mathcal{H}_0, \mathcal{H}(t)]\rangle = -\frac{1}{i\hbar}a(t)\langle[\mathcal{H}_0, A(t)]\rangle.$$

Calculate linear response $\langle[\mathcal{H}_0, A(t)]\rangle - \underbrace{\langle[\mathcal{H}_0, A]\rangle_0}_{0}$.¹

Application of Kubo formula [nl27]:

$$\begin{aligned}\langle[\mathcal{H}_0, A(t)]\rangle &= \frac{i}{\hbar} \int_{-\infty}^t dt' a(t') \langle[[\mathcal{H}_0, A(t)], A(t')]\rangle_0 \\ \Rightarrow \frac{d}{dt}\langle\mathcal{H}_0\rangle &= -\frac{1}{\hbar^2} a(t) \int_{-\infty}^t dt' a(t') \langle \overbrace{[[\mathcal{H}_0, A(t)], A(t')]}^{-i\hbar dA/dt} \rangle_0 \\ &= \frac{i}{\hbar} a(t) \int_{-\infty}^t dt' a(t') \frac{\partial}{\partial t} \langle[A(t), A(t')]\rangle_0 \\ &= \int_{-\infty}^{+\infty} dt' a(t) a(t') \frac{\partial}{\partial t} \tilde{\chi}_{AA}(t-t')\end{aligned}$$

with response function

$$\tilde{\chi}_{AA}(t-t') = \frac{i}{\hbar} \theta(t-t') \langle[A(t), A(t')]\rangle_0.$$

The time-averaged energy transfer depends only on the absorptive part, $\chi''_{AA}(\omega)$, of the generalized susceptibility as demonstrated in [nex64] for a monochromatic perturbation.

¹We have $\langle[\mathcal{H}_0, A]\rangle_0 = \text{Tr}\{e^{-\beta\mathcal{H}_0}\mathcal{H}_0A - e^{-\beta\mathcal{H}_0}A\mathcal{H}_0\}/Z_0 = 0$ in thermal equilibrium.

[nex64] **Reactive and absorptive parts of linear response.**

In the framework of linear response theory for $H = H_0 - a(t)A$, the rate of energy transfer between the system and the radiation field is

$$\frac{d}{dt}\langle H_0 \rangle = \int_{-\infty}^{\infty} dt' a(t)a(t') \frac{\partial}{\partial t} \tilde{\chi}_{AA}(t-t'), \quad (1)$$

where

$$\tilde{\chi}_{AA}(t-t') = \frac{i}{\hbar} \theta(t-t') \langle [A(t), A(t')] \rangle_0 \quad (2)$$

is the Kubo formula for the response function (see [nln38].)

(a) Evaluate this expression for a monochromatic perturbation,

$$a(t) = \frac{1}{2} a_m (e^{i\omega_0 t} + e^{-i\omega_0 t}) \quad (3)$$

and express it in terms of the reactive part, $\chi'_{AA}(\omega)$, and the absorptive (dissipative) part, $\chi''_{AA}(\omega)$, of the generalized susceptibility $\chi_{AA}(\omega)$ as defined in [nln26].

(b) Show that the time-averaged energy transfer depends only on the absorptive part of $\chi_{AA}(\omega)$:

$$\overline{\frac{d}{dt}\langle H_0 \rangle} = \frac{1}{2} a_m^2 \omega_0 \chi''_{AA}(\omega_0). \quad (4)$$

Solution:

Fluctuation-dissipation theorem [nl39]

Three dynamical quantities in time domain:¹

- ▷ $\tilde{\chi}''_{AA}(t) \doteq \frac{1}{2\hbar} \langle [A(t), A]_- \rangle$ response function (dissipative part),
- ▷ $\tilde{\Phi}_{AA}(t) \doteq \frac{1}{2} \langle [A(t), A]_+ \rangle - \langle A \rangle^2$ fluctuation function,
- ▷ $\tilde{S}_{AA}(t) \doteq \langle A(t)A \rangle - \langle A \rangle^2$ correlation function.

Relations:

$$\tilde{\chi}''_{AA}(t) = \frac{1}{2\hbar} [\tilde{S}_{AA}(t) - \tilde{S}_{AA}(-t)], \quad \tilde{\Phi}_{AA}(t) = \frac{1}{2} [\tilde{S}_{AA}(t) + \tilde{S}_{AA}(-t)].$$

Transformation properties under time reversal (for real t):

- $\tilde{\chi}''_{AA}(-t) = -\tilde{\chi}''_{AA}(t) = [\tilde{\chi}''_{AA}(t)]^*$ imaginary and antisymmetric,
- $\tilde{\Phi}_{AA}(-t) = \tilde{\Phi}_{AA}(t) = [\tilde{\Phi}_{AA}(t)]^*$ real and symmetric,
- $\tilde{S}_{AA}(-t) = \tilde{S}_{AA}(t - i\hbar\beta) = [\tilde{S}_{AA}(t)]^*$ complex.²

To make the last symmetry relation more transparent we write

$$\begin{aligned} \langle A(-t)A \rangle &= \text{Tr} [e^{-\beta\mathcal{H}_0} e^{-i\mathcal{H}_0 t/\hbar} A e^{i\mathcal{H}_0 t/\hbar} A] \\ &= \text{Tr} [e^{-\beta\mathcal{H}_0} e^{i\mathcal{H}_0(t-i\hbar\beta)/\hbar} A e^{-i\mathcal{H}_0(t-i\hbar\beta)/\hbar} A] = \langle A(t - i\beta\hbar)A \rangle. \end{aligned}$$

The imaginary part of the correlation function vanishes if

- if $\beta = 0$ i.e. at infinite temperature,
- if $\hbar = 0$ i.e. for classical systems.

¹using $[\cdot]_-$ for commutators and $[\cdot]_+$ for anti-commutators.

²with symmetric real part and antisymmetric imaginary part.

Three dynamical quantities in frequency domain:

$$\begin{aligned}
\triangleright \quad \chi''_{AA}(\omega) &\doteq \int_{-\infty}^{+\infty} dt e^{i\omega t} \tilde{\chi}''_{AA}(t) && \text{dissipation function,} \\
\triangleright \quad \Phi_{AA}(\omega) &\doteq \int_{-\infty}^{+\infty} dt e^{i\omega t} \tilde{\Phi}_{AA}(t) && \text{spectral density,} \\
\triangleright \quad S_{AA}(\omega) &\doteq \int_{-\infty}^{+\infty} dt e^{i\omega t} \tilde{S}_{AA}(t) && \text{structure function.}
\end{aligned}$$

Symmetry properties:

- $\chi''_{AA}(-\omega) = -\chi''_{AA}(\omega)$ real and antisymmetric,
- $\Phi_{AA}(-\omega) = \Phi_{AA}(\omega)$ real and symmetric,
- $S_{AA}(-\omega) = e^{-\beta\hbar\omega} S_{AA}(\omega)$ real and satisfying detailed balance.

Relations:

$$\chi''_{AA}(\omega) = \frac{1}{2\hbar} (1 - e^{-\beta\hbar\omega}) S_{AA}(\omega), \quad \Phi_{AA}(\omega) = \frac{1}{2} (1 + e^{-\beta\hbar\omega}) S_{AA}(\omega).$$

Fluctuation-dissipation relation (general quantum version):

$$\Phi_{AA}(\omega) = \hbar \coth\left(\frac{1}{2}\beta\hbar\omega\right) \chi''_{AA}(\omega).$$

Dissipation effects from an interaction with a weak external force as encoded in $\chi''_{AA}(\omega)$ are determined by natural fluctuations existing in thermal equilibrium as encoded in $\Phi_{AA}(\omega)$.

Classical limit (no zero-point fluctuations):

$$\Phi_{AA}(\omega)_{cl} \xrightarrow{\hbar \rightarrow 0} \frac{2k_B T}{\omega} \chi''_{AA}(\omega).$$

Classical fluctuations of any frequency related to static susceptibility:

$$\begin{aligned}
\langle (A - \langle A \rangle)^2 \rangle &= \tilde{\phi}_{AA}(t=0) = \lim_{t \rightarrow 0} \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} e^{-i\omega t} \Phi_{AA}(\omega) \\
&= k_B T \int_{-\infty}^{+\infty} \frac{d\omega}{\pi} \omega^{-1} \chi''_{AA}(\omega) = k_B T \lim_{\omega' \rightarrow 0} \frac{1}{\pi} \int_{-\infty}^{+\infty} d\omega \frac{\chi''_{AA}(\omega)}{\omega - \omega'} \\
&= k_B T \chi'_{AA}(\omega' = 0) = k_B T \chi_{AA}(\omega' = 0) \doteq k_B T \chi_{AA}.
\end{aligned}$$

Moment Expansion [nl78]

Correlation function and structure function:

$$\tilde{S}_{AA}(t) \doteq \langle A(t)A \rangle - \langle A \rangle^2 = \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} e^{-i\omega t} S_{AA}(\omega) = \sum_{n=0}^{\infty} \tilde{M}_n \frac{(-it)^n}{n!}.$$

Frequency moments: use $\dot{\tilde{S}}_{AA}(t) = \langle \dot{A}(t)A \rangle = (-i/\hbar) \langle [A(t), \mathcal{H}]A \rangle$.

$$\tilde{M}_n \doteq \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} \omega^n S_{AA}(\omega) = i^n \left[\frac{d^n}{dt^n} \tilde{S}_{AA}(t) \right]_{t=0} = \hbar^{-n} \langle \underbrace{[\dots [A, \mathcal{H}], \mathcal{H}], \dots, \mathcal{H}]A \rangle,$$

High-temperature limit $T \rightarrow \infty$:

$$\tilde{M}_{2k+1} = 0, \quad \tilde{M}_{2k} = \hbar^{-2k} \langle \underbrace{[\dots [A, \mathcal{H}], \dots, \mathcal{H}]}_k \underbrace{[\dots [A, \mathcal{H}], \dots, \mathcal{H}]}_k \rangle.$$

Classical limit $\hbar \rightarrow 0$: use $\dot{\tilde{S}}_{AA}(t) = \langle \dot{A}(t)A \rangle = \langle \{A(t), \mathcal{H}\}A \rangle$.

$$\tilde{M}_{2k+1} = 0, \quad \tilde{M}_{2k} = (-1)^k \langle \underbrace{\{\dots \{\{A, \mathcal{H}\}, \mathcal{H}\}, \dots, \mathcal{H}\}A \rangle}_{2k},$$

Fluctuation function:

$$\tilde{\Phi}_{AA}(t) \doteq \frac{1}{2} \langle [A(t), A]_+ \rangle - \langle A \rangle^2 = \sum_{k=0}^{\infty} \tilde{M}_{2k} \frac{(-it)^{2k}}{(2k)!},$$

$$\tilde{M}_{2k} = \frac{1}{2\hbar^{2k}} \langle \underbrace{[\dots [A, \mathcal{H}], \mathcal{H}], \dots, \mathcal{H}]A}_+ \rangle.$$

Dissipation function:

$$\tilde{\chi}''_{AA}(t) \doteq \frac{1}{2\hbar} \langle [A(t), A] \rangle = \hbar^{-1} \sum_{k=0}^{\infty} \tilde{M}_{2k+1} \frac{(-it)^{2k+1}}{(2k+1)!},$$

$$\tilde{M}_{2k+1} = \frac{1}{2\hbar^{2k+1}} \langle \underbrace{[\dots [A, \mathcal{H}], \mathcal{H}], \dots, \mathcal{H}]A \rangle.$$

Moment expansion not guaranteed to converge.

Convergence problem may be circumnavigated by recursion method.

[nex65] Spectral representation of dynamical quantities.

Consider a quantum Hamiltonian system with known eigenvalues and eigenvectors,

$$H|n\rangle = E_n|n\rangle, \quad n = 0, 1, \dots,$$

in thermal equilibrium at temperature T . Express (a) the structure function $S_{AA}(\omega)$, (b) the spectral density $\Phi_{AA}(\omega)$, (c) the dissipation function $\chi''_{AA}(\omega)$, and (d) the generalized susceptibility $\chi_{AA}(\omega + i\epsilon)$, all defined in [nln39], in terms of the temperature parameter $\beta = 1/k_B T$, the energy levels E_n , and the matrix elements $\langle n|A|m\rangle$. For simplicity assume that $\langle A \rangle \doteq Z^{-1} \text{Tr}[e^{-\beta H} A] = 0$. The last result reads

$$\chi_{AA}(\omega + i\epsilon) = \frac{1}{Z} \sum_{m,n} (e^{-\beta E_m} - e^{-\beta E_n}) \frac{|\langle n|A|m\rangle|^2}{\hbar\omega - (E_m - E_n) + i\epsilon}.$$

Solution:

[nex66] Linear response of classical relaxator.

The classical relaxator is defined by the equation of motion,

$$\dot{x} + \frac{1}{\tau_0} x = a(t), \quad (1)$$

where τ_0 represents a relaxation time and $a(t)$ a weak periodic perturbation. The (linear) response function is extracted from the relation

$$\langle x(t) \rangle - \langle x \rangle_0 = \int_{-\infty}^t dt' \tilde{\chi}_{xx}(t-t') a(t'), \quad (2)$$

where $x(t)$ is the solution of (1).

(a) Solve (1) formally as in [nex53] and compare the result with (2) to show that the response function must be

$$\tilde{\chi}_{xx}(t) = e^{-t/\tau_0} \theta(t). \quad (3)$$

(b) Calculate the generalized susceptibility $\chi_{xx}(\omega)$ via Fourier analysis of (1) as in [nex119]. Show that the Fourier transform of (3) yields the same result, namely

$$\chi_{xx}(\omega) = \frac{\tau_0}{1 - i\omega\tau_0}. \quad (4)$$

(c) Extract from $\chi_{xx}(\omega)$ its reactive part $\chi'_{xx}(\omega)$ and its dissipative part $\chi''_{xx}(\omega)$ as prescribed in [nln30] and verify their symmetry properties.

(d) Use the (classical) fluctuation-dissipation theorem from [nln39] to infer the spectral density $\Phi_{xx}(\omega)$ from the dissipation function $\chi''_{xx}(\omega)$.

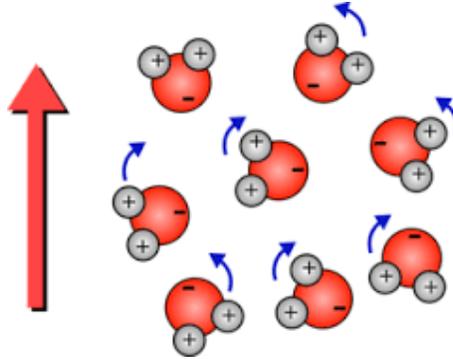
(e) Retrieve from the generalized susceptibility (4) the response function (3) via inverse Fourier transform carried out as a contour integral.

(f) Retrieve $\chi'_{xx}(\omega)$ from $\chi''_{xx}(\omega)$ and vice versa via a numerical principal-value integration of the Kramers-Kronig relations as stated in [nln37]. Use $\tau = 1$ and consider the interval $-2 \leq \omega \leq 2$. Plot the curves obtained via integration for comparison with the analytic expressions. Integrate over the intervals $-\infty < \omega' < \omega - \epsilon$ and $\omega + \epsilon < \omega' < +\infty$ with $0 < \epsilon \ll 1$.

Solution:

Dielectric Relaxation in Liquid Water [nl76]

- H₂O molecules have permanent electric dipole moment (polar molecules.)
- Alignment of dipole moments with external electric field \mathbf{E} is energetically favorable.
- Alignment tendency is counteracted by thermal fluctuations.
- Turning \mathbf{E} on/off initiates relaxation process toward equilibrium.



- $P(t)$: instantaneous electric polarization (average dipole moment)
- χ_0 : static dielectric susceptibility
- τ_0 : characteristic relaxation time
- $E(t)$: oscillating electric field
- $\frac{d}{dt}P(t) = -\frac{1}{\tau_0}[P(t) - \chi_0 E(t)]$: dielectric relaxation process
- $\langle P \rangle = \chi_0 E$: static (linear) response
- $\chi_{PP}(\omega) = \frac{\chi_0}{\tau_0} \chi_{xx}(\omega)$: link to classical relaxator [nex66]
- $\langle P(t)P \rangle - \langle P \rangle^2 = k_B T \chi_0 e^{-t/\tau_0}$: correlation fct. (from fluc.-diss. rel.)
- $\langle P^2 \rangle \doteq \frac{1}{3} n p_0^2 = k_B T \chi_0$: zero-field limit
- n : number density of molecules
- p_0 : permanent molecular electric dipole moment
- $\chi_0(T) = \frac{n p_0^2}{3 k_B T}$: T -dependence of dielectric susceptibility

[nex67] Linear response of classical oscillator.

The classical oscillator is defined by the equation of motion,

$$m\ddot{x} + \gamma\dot{x} + m\omega_0^2 x = a(t), \quad (1)$$

where γ is the attenuation coefficient, $m\omega_0^2$ the spring constant, and $a(t)$ a weak periodic perturbation. The (linear) response function is defined by the relation

$$\langle x(t) \rangle - \langle x \rangle_0 = \int_{-\infty}^t dt' \tilde{\chi}_{xx}(t-t') a(t'), \quad (2)$$

where $x(t)$ is the solution of (1).

(a) Calculate the generalized susceptibility $\chi_{xx}(\omega)$ as well as its reactive part $\chi'_{xx}(\omega)$ and its dissipative part $\chi''_{xx}(\omega)$.

(b) Use the (classical) fluctuation-dissipation theorem to infer the spectral density $\Phi_{xx}(\omega)$ from the dissipation function $\chi''_{xx}(\omega)$.

Solution:

Dynamic Structure Factor [nlh89]

Inelastic scattering of particles (electrons, neutrons, photons,...) involves momentum transfer, $\hbar\mathbf{q} = \hbar\mathbf{k}_f - \hbar\mathbf{k}_i$, and energy transfer, $\hbar\omega = E_f - E_i$, between scattered particles and collective excitations in the system.

Scattering cross section is proportional to dynamic structure factor:

$$\frac{d^2\sigma}{d\omega d\Omega} \propto S_{AA}(\mathbf{q}, \omega).$$

Target system: $\mathcal{H}_0|\lambda\rangle = E_\lambda|\lambda\rangle$.

Interaction with scattering radiation: $A(\mathbf{q}, t) = \int d^3r e^{-i\mathbf{k}_i \cdot \mathbf{r}} V(\mathbf{r}, t) e^{i\mathbf{k}_f \cdot \mathbf{r}}$.

Scattering events produce transitions $|\lambda\rangle \rightarrow |\lambda'\rangle$ in target system.

Transition rates: $T(\mathbf{q}, \omega) = |\langle\lambda|A(\mathbf{q})|\lambda'\rangle|^2 \delta(\hbar\omega - E_{\lambda'} + E_\lambda) \delta_{\mathbf{q}-\mathbf{k}_{\lambda'}+\mathbf{k}_\lambda+\mathbf{Q}}$.

Dynamic structure factor: $S_{AA}(\mathbf{q}, \omega) = \frac{2\pi}{Z} \sum_{\lambda, \lambda'} e^{-\beta E_\lambda} T(\mathbf{q}, \omega)$.

Electron scattering (Coulomb interaction with target charge density):

$$V(\mathbf{r}, t) = \frac{e\rho(\mathbf{R}, t)}{|\mathbf{r} - \mathbf{R}|} \Rightarrow S_{\rho\rho}(\mathbf{q}, \omega) = \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle \rho(\mathbf{q}, t) \rho(-\mathbf{q}, 0) \rangle.$$

Nuclear neutron scattering (contact interaction with target particle density):

$$V(\mathbf{r}, t) = a\delta(\mathbf{r} - \mathbf{R})n(\mathbf{R}, t) \Rightarrow S_{nn}(\mathbf{q}, \omega) = \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle n(\mathbf{q}, t) n(-\mathbf{q}, 0) \rangle.$$

Magnetic neutron scattering (interaction with target magnetisation):

$$V(\mathbf{r}, t) = S_\mu(\mathbf{r})V_{\mu\nu}(\mathbf{r} - \mathbf{R})M_\nu(\mathbf{R}, t) \\ \Rightarrow S_{\mu\nu}(\mathbf{q}, \omega) = \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle M_\mu(\mathbf{q}, t) M_\nu(-\mathbf{q}, 0) \rangle.$$

Light scattering (interaction with inhomogeneities in dielectric function):

$$\epsilon(\mathbf{r}, t) \Rightarrow S_{\epsilon\epsilon}(\mathbf{q}, \omega) = \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle \epsilon(\mathbf{q}, t) \epsilon(-\mathbf{q}, 0) \rangle.$$

Scattering from Free Atoms [nl93]

Consider a dilute gas of atoms with mass M . Interaction between gas atoms limited to (rare) collisions.

Hamiltonian: $\mathcal{H} = \frac{p^2}{2M}$ (dominated by kinetic energy).

Contact interaction between gas atom at position $\mathbf{R}(t)$ and scattering radiation (see [nl93]) defines dynamical variable relevant for scattering process:

$$A(\mathbf{q}, t) = \int d^3r e^{i\mathbf{q}\cdot\mathbf{r}} \delta(\mathbf{r} - \mathbf{R}(t)) = e^{i\mathbf{q}\cdot\mathbf{R}(t)}. \quad (1)$$

Equation of motion (setting $\hbar \equiv 1$):¹

$$i \frac{\partial A}{\partial t} = [A, \mathcal{H}] = \frac{1}{2M} [e^{i\mathbf{q}\cdot\mathbf{R}}, p^2] = -A \frac{1}{2M} (2\mathbf{q} \cdot \mathbf{p} + q^2). \quad (2)$$

Formal solution:

$$A(\mathbf{q}, t) = e^{i\mathbf{q}\cdot\mathbf{R}(0)} \exp\left(\frac{it(2\mathbf{q} \cdot \mathbf{p} + q^2)}{2M}\right). \quad (3)$$

Correlation function: $\tilde{S}_{AA}(\mathbf{q}, t) \doteq \langle A^\dagger(\mathbf{q}, t) A(\mathbf{q}, 0) \rangle$.

$$\begin{aligned} \Rightarrow \tilde{S}_{AA}(\mathbf{q}, t) &\doteq e^{-itq^2/2M} \langle \exp(-it\mathbf{q} \cdot \mathbf{p}/M) \rangle \\ &= e^{-itq^2/2M} \frac{1}{Z} \int d^3p e^{-\beta p^2/2M} e^{-it\mathbf{q}\cdot\mathbf{p}/M} \\ &= e^{-itq^2/2M} \frac{1}{Z} \int d^3p \underbrace{\exp\left(\frac{(\sqrt{\beta}\mathbf{p} + it\mathbf{q}/\sqrt{\beta})^2}{2M}\right)}_Z e^{-q^2 t^2/2M\beta} \\ &= \exp\left(-\frac{q^2(t^2/\beta + it)}{2M}\right). \end{aligned} \quad (4)$$

Third line: Gaussian integral is unaffected by a constant shift in \mathbf{p} .

Note symmetry property from [nl93]: $\tilde{S}_{AA}(\mathbf{q}, -t) = \tilde{S}_{AA}(\mathbf{q}, t - i\beta)$.

¹Use $[\mathbf{R}, \mathbf{p}] = i$, $[A, \mathbf{p}] = -\mathbf{q}A$, $[A, p^2] = [A, \mathbf{p}] \cdot \mathbf{p} + \mathbf{p} \cdot [A, \mathbf{p}] = -A\mathbf{q} \cdot \mathbf{p} - \mathbf{p} \cdot \mathbf{q}A$, $A\mathbf{q} \cdot \mathbf{p} - \mathbf{p} \cdot \mathbf{q}A = -Aq^2$, $\Rightarrow [A, p^2] = -A(2\mathbf{q} \cdot \mathbf{p} + q^2)$.

Dynamic structure factor via Fourier transform:

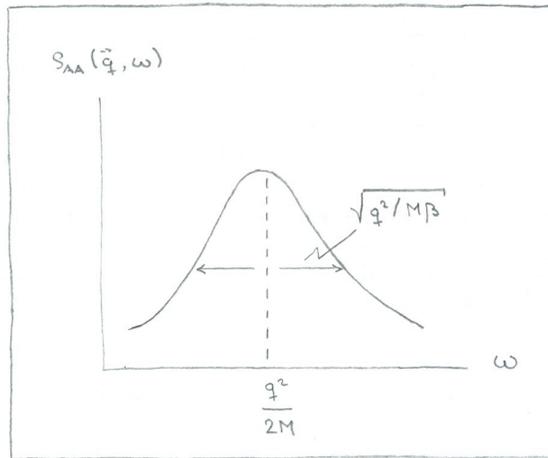
$$\begin{aligned}
 S_{AA}(\mathbf{q}, \omega) &\doteq \int_{-\infty}^{+\infty} dt e^{i\omega t} \tilde{S}_{AA}(\mathbf{q}, t) \\
 &= \sqrt{\frac{2\pi M\beta}{q^2}} \exp\left(-\frac{M\beta}{2q^2} [\omega - q^2/2M]^2\right). \quad (5)
 \end{aligned}$$

- Scattering is isotropic, only dependent on magnitude of \mathbf{q} .
- Maximum intensity occurs when energy transfer ω and momentum transfer \mathbf{q} reflect energy momentum relation, $\omega = q^2/2M$, of free, non-relativistic gas particle.
- Lineshape broadens with increasing temperature and/or decreasing mass of gas atoms.
- Note detailed-balance condition from [nl39]:

$$S_{AA}(\mathbf{q}, -\omega) = e^{-\beta\omega} S_{AA}(\mathbf{q}, \omega).$$

- In the limit $M \rightarrow \infty$ at fixed temperature, the atoms slow down and come to rest. The scattering becomes elastic in nature, still isotropic and with zero energy transfer:

$$S_{AA}(\mathbf{q}, \omega) \xrightarrow{M \rightarrow \infty} 2\pi\delta(\omega).$$



Scattering from Atoms Bound to Lattice [nl94]

Consider array of atoms harmonically bound to sites of rigid lattice. We set $\hbar = 1$ and atomic mass $M = 1$:

$$\text{Hamiltonian: } \mathcal{H} = \frac{1}{2}(p^2 + \omega_0^2 u^2) = \omega_0 \left(a^\dagger a + \frac{1}{2} \right).$$

$$\text{Displacement of atom from equilibrium position:}^1 \quad u(t) = \frac{1}{\sqrt{2\omega_0}} (a e^{-i\omega_0 t} + a^\dagger e^{i\omega_0 t}).$$

$$\text{Dynamical variable: } A(q, t) = e^{iqu(t)}.$$

$$\text{Correlation function: } \tilde{S}_{AA}(q, t) \doteq \langle A^\dagger(q, 0) A(q, -t) \rangle.$$

$$\text{Use Baker-Hausdorff expansion:}^2 \quad e^A e^B = \exp \left(A + B + \frac{1}{2}[A, B] + \dots \right).$$

$$\begin{aligned} \Rightarrow \tilde{S}_{AA}(q, t) &= \langle e^{-iqu} e^{iqu(-t)} \rangle = \langle e^{iq[u(-t)-u]} \rangle e^{q^2[u, u(-t)]/2} \\ &= e^{-q^2[u(-t)-u]^2/2} e^{q^2[uu(-t)-u(-t)u]/2} = e^{-q^2[\langle u^2 \rangle - \langle uu(-t) \rangle]}. \end{aligned} \quad (1)$$

$$\text{Boson distribution: } \langle a^\dagger a \rangle = n_B = \frac{1}{e^{\beta\omega_0} - 1} \quad \Rightarrow \quad \langle aa^\dagger \rangle = 1 + n_B.$$

$$\text{Debye-Waller factor: } W = \frac{1}{2} q^2 \langle u^2 \rangle = \frac{q^2}{4\omega_0} \underbrace{\coth \frac{\beta\omega_0}{2}}_{1+2n_B}, \quad \Rightarrow \quad e^{-q^2 \langle u^2 \rangle} \doteq e^{-2W}.$$

$$\begin{aligned} \langle uu(-t) \rangle &= \frac{1}{2\omega_0} [\langle a^\dagger a \rangle e^{i\omega_0 t} + \langle aa^\dagger \rangle e^{-i\omega_0 t}] \\ &= \frac{1}{4\omega_0} \operatorname{cosech} \frac{\beta\omega_0}{2} \left[e^{-i\omega_0 t + \beta\omega_0/2} + e^{i\omega_0 t - \beta\omega_0/2} \right]. \end{aligned} \quad (2)$$

$$\text{Use}^3 \quad e^{y(s+1/s)/2} = \sum_{n=-\infty}^{+\infty} s^n \mathbf{I}_n(y) \quad \text{with } y \doteq \frac{q^2}{2\omega_0} \operatorname{cosech} \frac{\beta\omega_0}{2}, \quad s \doteq e^{-i\omega_0 t + \beta\omega_0/2}.$$

$$\tilde{S}_{AA}(q, t) = e^{-2W} \sum_{n=-\infty}^{+\infty} \mathbf{I}_n \left(\frac{q^2}{2\omega_0} \operatorname{cosech} \frac{\beta\omega_0}{2} \right) \exp \left(\frac{1}{2} \beta n \omega_0 - i n \omega_0 t \right). \quad (3)$$

$$S_{AA}(q, \omega) = e^{\beta\omega/2 - 2W} \sum_{n=-\infty}^{+\infty} \mathbf{I}_n \left(\frac{q^2}{2\omega_0} \operatorname{cosech} \frac{\beta\omega_0}{2} \right) \delta(\omega - n\omega_0) \quad (4)$$

¹We consider component of displacement parallel to $\mathbf{q} \doteq \mathbf{k}_f - \mathbf{k}_i$ only.

²Use also $\langle e^A \rangle = e^{\langle A^2 \rangle/2}$ for linear combinations of boson operators.

³ $\mathbf{I}_n(y)$ are modified Bessel functions of the first kind. Note that $\mathbf{I}_{-n}(y) = \mathbf{I}_n(y)$.

Scattering from Harmonic Crystal [nl95]

Atoms of mass M are harmonically coupled via a bilinear form in displacement coordinates. Spatial Fourier transform produces normal modes: noninteracting collective excitations (phonons) representing oscillating patterns of specific wave vectors \mathbf{k} and excitation energies determined by a characteristic dispersion relation $\epsilon(\mathbf{k})$.

$$\mathcal{H} = \sum_l \frac{p_l^2}{2M} + \frac{1}{2} \sum_{l,l'} \mathbf{u}_l \cdot \mathbf{D}_{ll'} \cdot \mathbf{u}_{l'} = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) a_{\mathbf{k}}^\dagger a_{\mathbf{k}}.$$

Correlation function:¹

$$\begin{aligned} \tilde{S}(\mathbf{q}, t) &= \langle e^{-i\mathbf{q} \cdot \mathbf{u}_l} e^{i\mathbf{q} \cdot \mathbf{u}_{l'}(-t)} \rangle \\ &= \exp \left(-\frac{1}{2} \langle [\mathbf{q} \cdot \mathbf{u}_l]^2 \rangle - \frac{1}{2} \langle [\mathbf{q} \cdot \mathbf{u}_{l'}(-t)]^2 \rangle + \langle [\mathbf{q} \cdot \mathbf{u}_l][\mathbf{q} \cdot \mathbf{u}_{l'}(-t)] \rangle \right) \end{aligned}$$

Debye-Waller factor from $\frac{1}{2} \langle [\mathbf{q} \cdot \mathbf{u}_l]^2 \rangle = \frac{1}{2} \langle [\mathbf{q} \cdot \mathbf{u}_{l'}(-t)]^2 \rangle = W$.

Expansion into m -phonon processes:

$$\exp \left(\langle [\mathbf{q} \cdot \mathbf{u}_l][\mathbf{q} \cdot \mathbf{u}_{l'}(-t)] \rangle \right) = \sum_{m=0}^{\infty} \frac{1}{m!} \left(\langle [\mathbf{q} \cdot \mathbf{u}_l][\mathbf{q} \cdot \mathbf{u}_{l'}(-t)] \rangle \right)^m.$$

Dynamic structure factor:

$$S(\mathbf{q}, \omega) = e^{-2W} \frac{1}{N} \sum_{ll'} e^{i\mathbf{q} \cdot (\mathbf{R}_l - \mathbf{R}_{l'})} \int_{-\infty}^{+\infty} dt e^{i\omega t} \exp \left(\langle [\mathbf{q} \cdot \mathbf{u}_l][\mathbf{q} \cdot \mathbf{u}_{l'}(-t)] \rangle \right).$$

$m = 0$: Bragg scattering

$$S(\mathbf{q}, \omega)_0 \propto e^{-2W} \delta(\omega) \sum_{\mathbf{G}} \delta_{\mathbf{q}, \mathbf{G}}; \quad \mathbf{G} : \text{reciprocal lattice vector.}$$

$m = 1$: 1-phonon contributions²

$$S(\mathbf{q}, \omega)_1 \propto e^{-2W} \frac{[\mathbf{q} \cdot \mathbf{e}(\mathbf{k})]^2}{2M\epsilon(\mathbf{k})} \left(\underbrace{[1 + n_B(\mathbf{q})] \delta(\omega - \epsilon(\mathbf{q}))}_{\text{phonon emission}} + \underbrace{n_B(\mathbf{q}) \delta(\omega + \epsilon(\mathbf{q}))}_{\text{phonon absorption}} \right).$$

Harmonicly leaves phonon peaks sharp. Thermal fluctuations only affect intensity via Debye-Waller factor.

¹Use $\langle e^A e^B \rangle = e^{\langle A^2 + 2AB + B^2 \rangle / 2}$ for operators A, B that are linear in $\mathbf{u}_l, \mathbf{p}_l$.

²Calculate $\langle [\mathbf{q} \cdot \mathbf{u}_0][\mathbf{q} \cdot \mathbf{u}_{\mathbf{R}}(-t)] \rangle$ with $\mathbf{u}_{\mathbf{R}} \propto \sum_{\mathbf{k}} (2M\epsilon(\mathbf{k}))^{-1/2} (a_{\mathbf{k}} + a_{\mathbf{k}}^\dagger) e^{i\mathbf{k} \cdot \mathbf{R}} \mathbf{e}(\mathbf{k})$ and $a_{\mathbf{k}}(t) = a_{\mathbf{k}} e^{-i\epsilon(\mathbf{k})t}$.

Magnetic Resonance or Scattering [nl97]

Magnetic probe: $\mathcal{H}_1(t) = -\mathbf{M} \cdot \mathbf{h}(t)$. We set $\hbar = 1$ throughout.

Linear response: $\langle M_\mu(\mathbf{r}, t) \rangle - \langle M_\mu \rangle_{\text{eq}} = \sum_\nu \int d^3r' \int dt \tilde{\chi}_{\mu\nu}(\mathbf{r} - \mathbf{r}', t - t') h_\nu(\mathbf{r}', t')$.

Response function: $\tilde{\chi}_{\mu\nu}(\mathbf{r}, t) = i\theta(t) \langle [M_\mu(\mathbf{r}, t), M_\nu(\mathbf{0}, 0)] \rangle = i\theta(t) [S_{\mathbf{1}+\mathbf{r}}^\mu(t), S_{\mathbf{1}}^\nu]$.

Generalized susceptibility: $\chi_{\mu\nu}(\mathbf{q}, \omega) = \sum_{\mathbf{r}} e^{i\mathbf{q}\cdot\mathbf{r}} \int_{-\infty}^{+\infty} dt e^{i\omega t} \tilde{\chi}_{\mu\nu}(\mathbf{r}, t)$.

Correlation function: $\tilde{S}_{\mu\nu}(\mathbf{r}, t) = \langle S_{\mathbf{1}+\mathbf{r}}^\mu(t) S_{\mathbf{1}}^\nu \rangle$.

Dynamic structure factor: $S_{\mu\nu}(\mathbf{q}, \omega) = \sum_{\mathbf{r}} e^{i\mathbf{q}\cdot\mathbf{r}} \int_{-\infty}^{+\infty} dt e^{i\omega t} \tilde{S}_{\mu\nu}(\mathbf{r}, t)$.

Relation from [nl93]: $S_{\mu\nu}(\mathbf{q}, \omega) = \frac{2\chi''_{\mu\nu}(\mathbf{q}, \omega)}{1 - e^{-\beta\omega}}$.

Experimental techniques:

- Ferromagnetic resonance, EPR.
 - Long wavelengths (long compared to lattice spacing) probed.
 - Relevant quantity: $\chi''_{\mu\nu}(\mathbf{q} \simeq 0, \omega)$.
- Inelastic neutron scattering.
 - Interaction with magnetic dipole moment of neutron.
 - Momentum transfer \mathbf{q} and energy transfer ω of neutrons well matched with energy-momentum relations $\epsilon(\mathbf{q})$ of typical collective magnetic excitations.
 - Scattering cross section: $\frac{d^2\sigma}{d\omega d\Omega} \propto S_{\mu\nu}(\mathbf{q}, \omega)$.
- Nuclear magnetic resonance, NMR.
 - Localized probe (nuclear magnetic moment) interacts with electronic magnetism in immediate vicinity.
 - Spin-lattice relaxation rate: $\frac{1}{T_1} \propto \sum_{\mathbf{q}} S_{\mu\nu}(\mathbf{q}, \omega_N)$.
 - Nuclear Larmor frequency ω_N is very small compared to typical electronic magnetic excitations.