



Coil-Helix Transformation

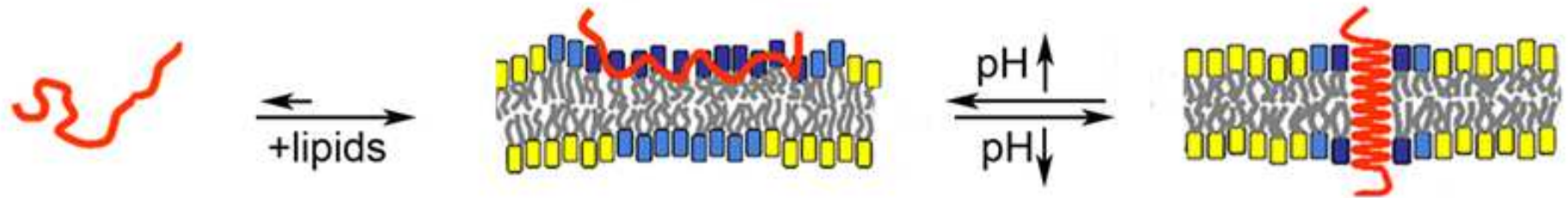
homogeneous and heterogeneous environments

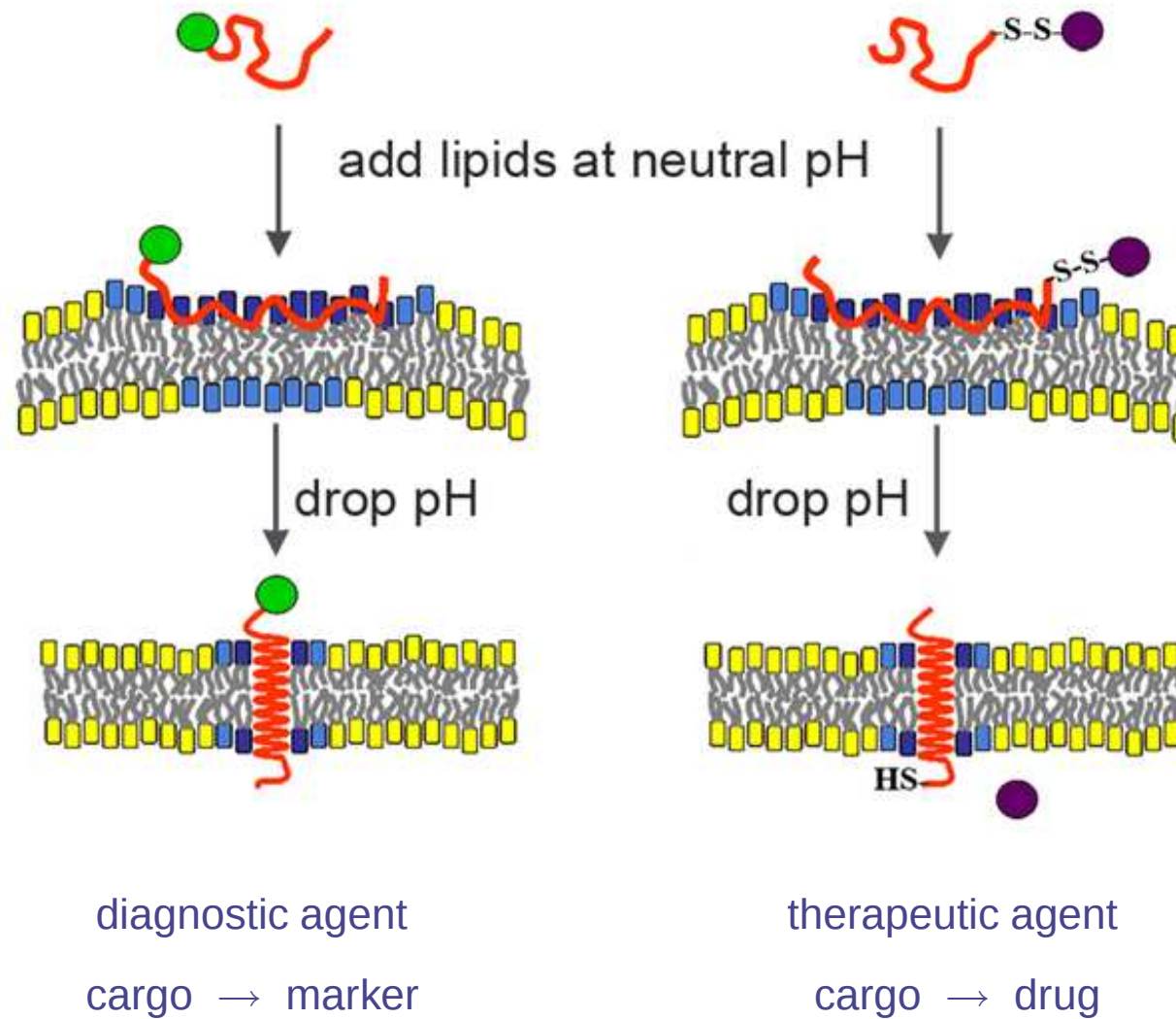
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pHLIP: pH - Low - Insertion Peptide





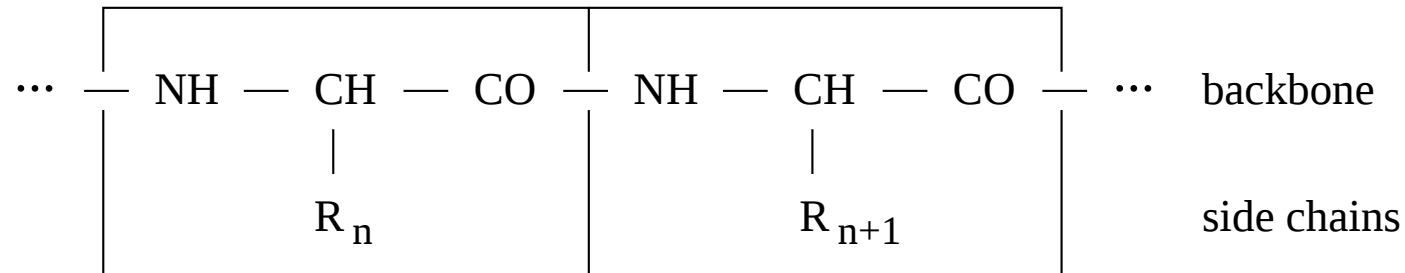
Proteins and Peptides



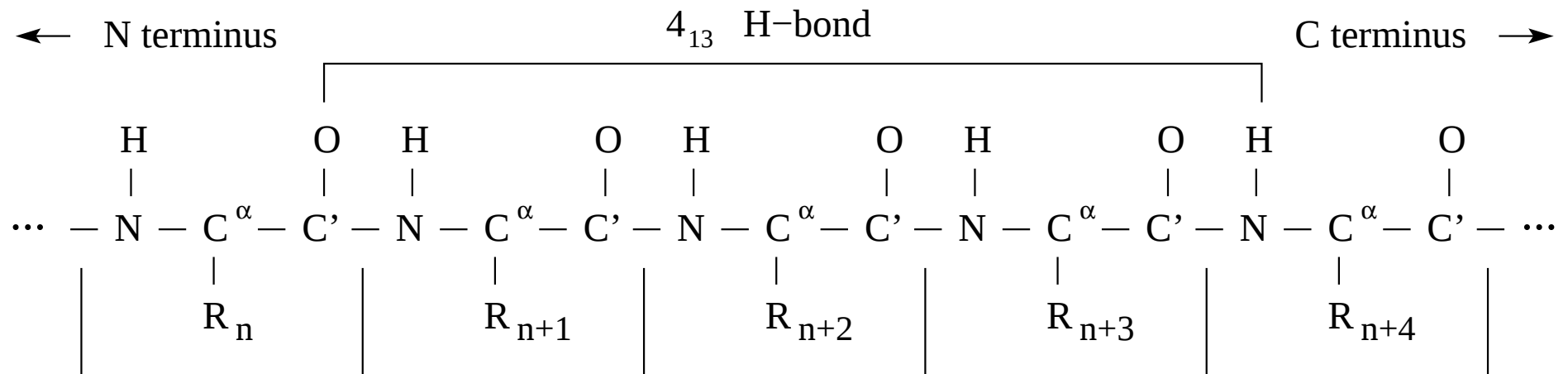
Amino acids linked into polymer.

Backbone $\rightarrow$ periodic. Side chains $\rightarrow$ aperiodic.

Residues n and $n + 1$ coupled by peptide bond.



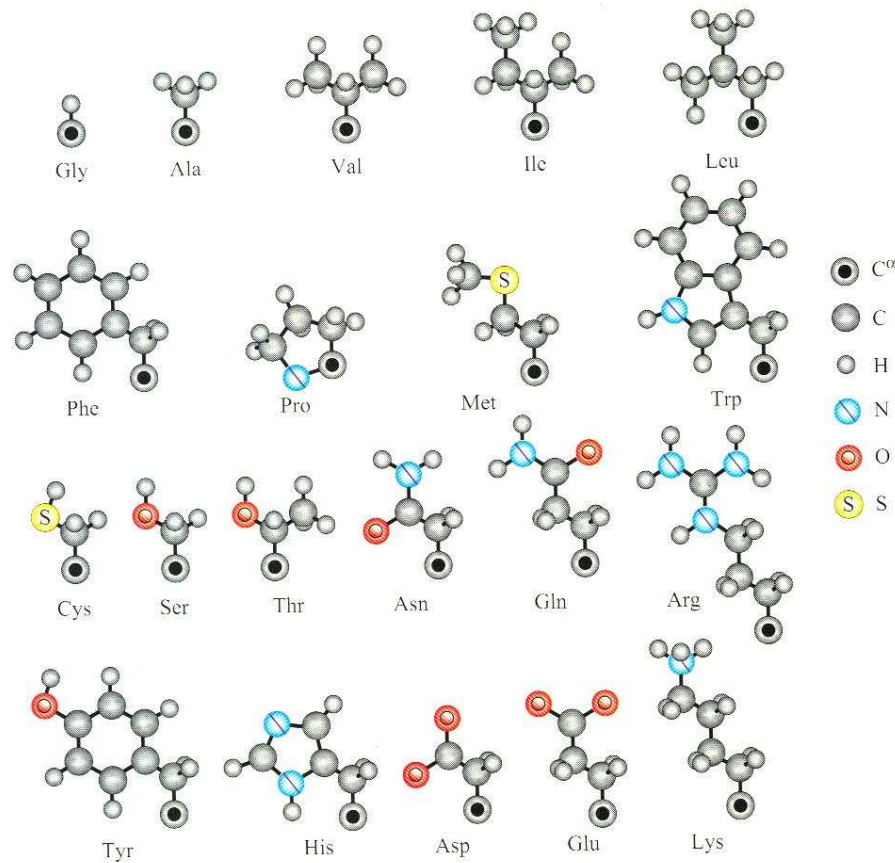
α -helix stabilized by internal H-bonds ($\sim 9k_B T$).



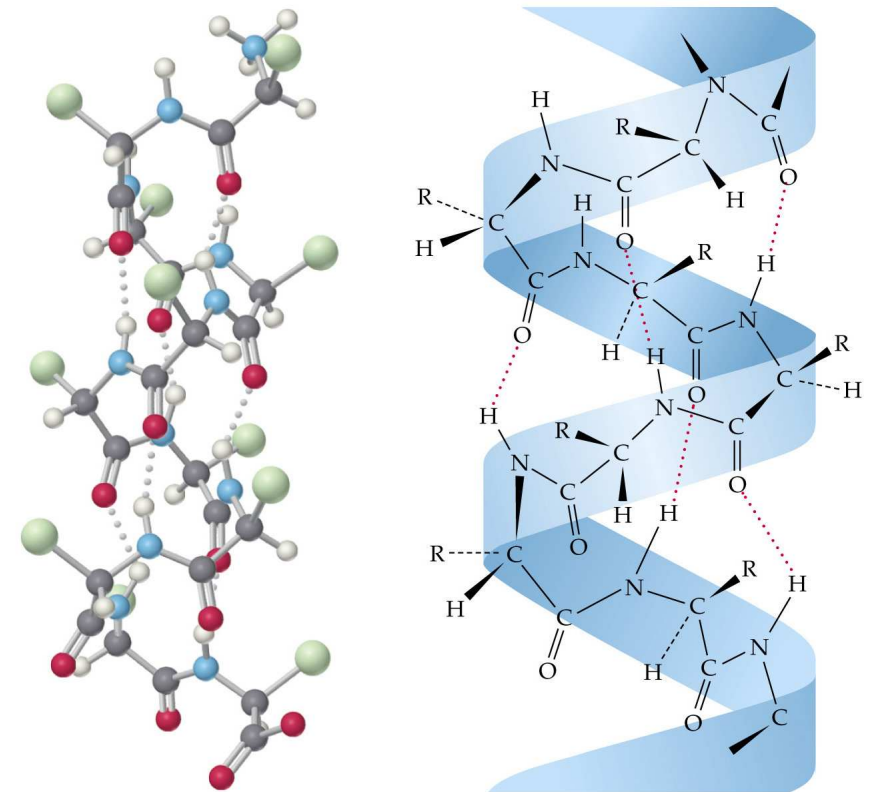
Amino Acid Residues



side chains



backbone



Length per residue: $\ell_e \simeq 4\text{\AA}$ (extended), $\ell_h \simeq 1.5\text{\AA}$ (helical).

Conformational Change: Coil vs Helix

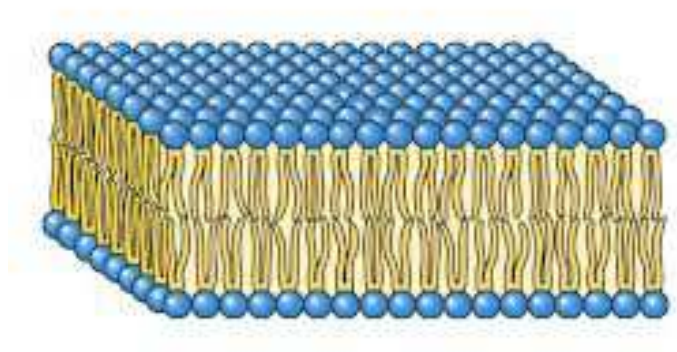


Conformation with lower free energy is realized.

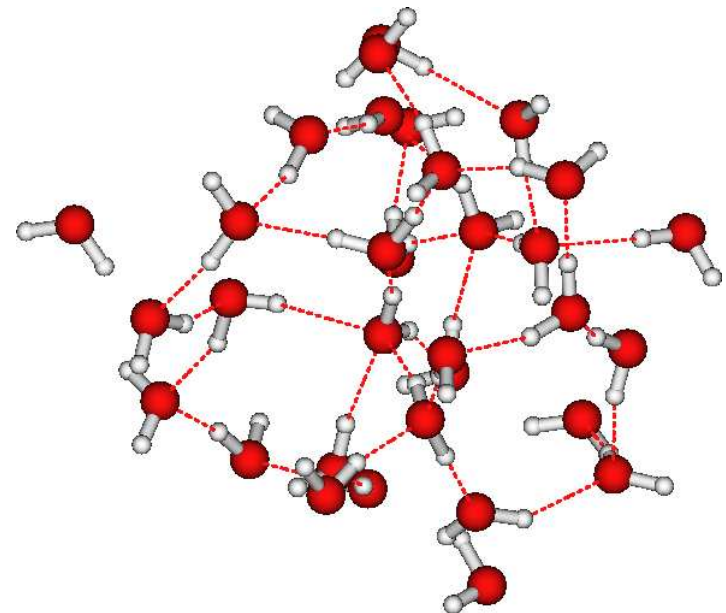
$$\Delta G \doteq G_{coil} - G_{helix} = \Delta H - T\Delta S.$$

ΔH : enthalpic contribution, $T\Delta S$: entropic contribution.

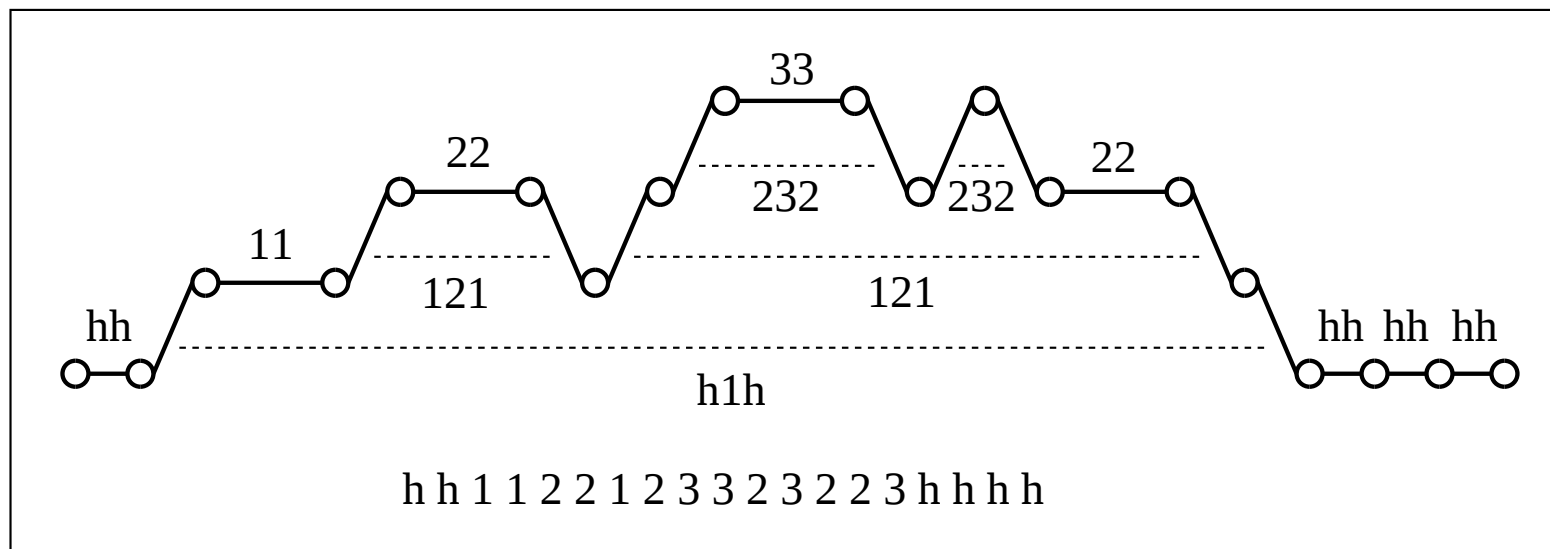
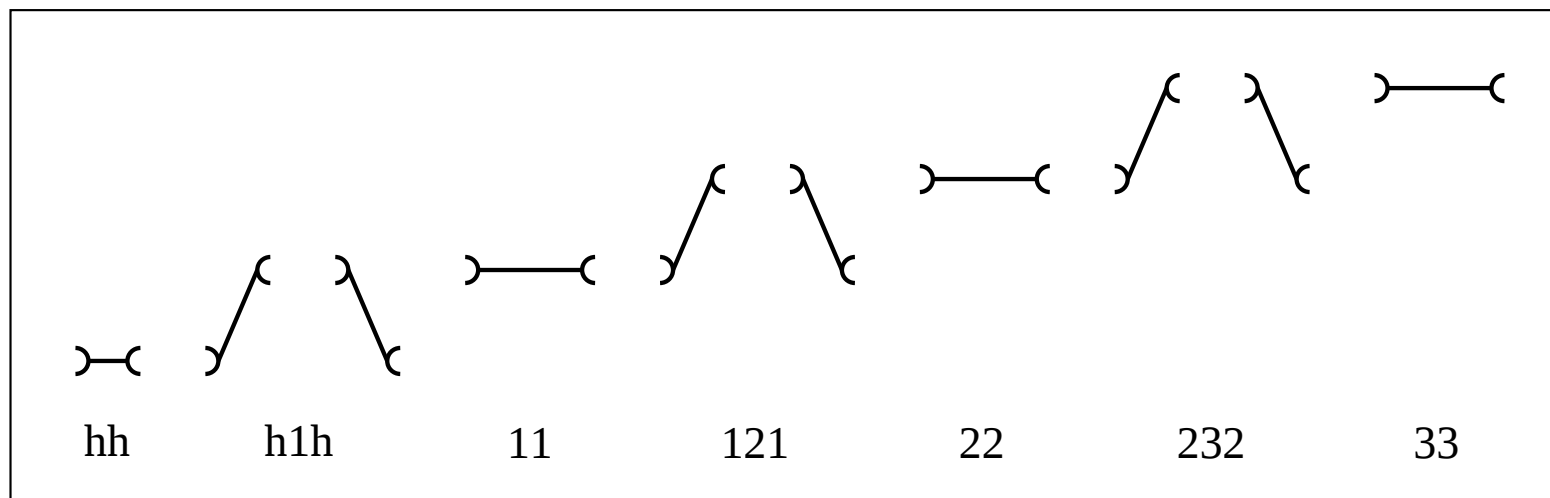
non-polar environment: $|\Delta H| \gg |T\Delta S|$



polar environment: $|\Delta H| \lesssim |T\Delta S|$



Mathematical Model (1)





Generalized Pauli principle [Haldane 1991]

How is the number of states accessible to one particle of species m affected if particles (of any species m') are added?

$$\Delta d_m \doteq - \sum_{m'} g_{mm'} \Delta N_{m'} \quad \Rightarrow \quad d_m = A_m - \sum_{m'} g_{mm'} (N_{m'} - \delta_{mm'})$$

Energy and multiplicity of many-body states

$$E(\{N_m\}) = E_{pv} + \sum_{m=1}^M N_m \epsilon_m, \quad W(\{N_m\}) = \prod_{m=1}^M \underbrace{\binom{d_m + N_m - 1}{N_m}}_{\frac{\Gamma(d_m + N_m)}{\Gamma(N_m + 1)\Gamma(d_m)}}$$

- E_{pv} : energy of reference state
- N_m : number of particles from species m
- ϵ_m : particle activation energies
- $g_{mm'}$: statistical interaction coefficients
- A_m : capacity constants
- d_m : number of open slots for a particle of species m



System specifications:

- particle energies ϵ_m
- statistical interaction coefficients $g_{mm'}$
- capacity constants A_m

Two tasks:

- combinatorial problem: $W(\{N_m\})$
- extremum problem: $\delta(U - TS - \mu\mathcal{N}) = 0$

Partition function [Wu 1994]: $Z = \sum_{\{N_m\}} W(\{N_m\}) e^{-\beta E(\{N_m\})} = \prod_m \left(\frac{1 + w_m}{w_m} \right)^{A_m}$

$$e^{\epsilon_m/k_B T} = (1 + w_m) \prod_{m'=1}^M \left(1 + w_{m'}^{-1} \right)^{-g_{m'm}}, \quad m = 1, \dots, M.$$

Average number of particles: $w_m \langle N_m \rangle + \sum_{m'} g_{mm'} \langle N_{m'} \rangle = A_m, \quad m = 1, \dots, M$

Configurational entropy [Isakov 1994]:

$$S(\{N_m\}) = k_B \sum_{m=1}^M \left[(N_m + Y_m) \ln (N_m + Y_m) - N_m \ln N_m - Y_m \ln Y_m \right]$$
$$Y_m \doteq A_m - \sum_{m'=1}^M g_{mm'} N_{m'}$$

Mathematical Model (2)



Specifications for combinatorics: $d_m = A_m - \sum_{m'} g_{mm'} (N_{m'} - \delta_{mm'})$.

motif	cat.	m	ϵ_m	A_m	$g_{mm'}$	1	2	3	4	5	6
h1h	host	1	ϵ_1	$N - 2$	1	2	2	2	1	1	1
121	hybrid	2	$2\epsilon_4$	0	2	-1	0	0	0	0	0
232	hybrid	3	$2\epsilon_4$	0	3	-0	-1	0	0	0	0
11	tag	4	ϵ_4	0	4	-1	-1	0	0	0	0
22	tag	5	ϵ_4	0	5	0	-1	-1	0	0	0
33	tag	6	ϵ_4	0	6	0	0	-1	0	0	0

Specifications for energetics: $E(\{N_m\}) = E_{pv} + \sum_{m=1}^M N_m \epsilon_m$,

- Nucleation parameter: $\tau \doteq e^{(\epsilon_4 - \epsilon_1)/k_B T}$ (gauge of cooperativity).
- Growth parameter: $t \doteq e^{\epsilon_4/k_B T}$ (gauge of preferred conformation).

Mathematical Model (3)



Polynomial equation for solution of ν -state model with $\nu = 2, 3, \dots$:

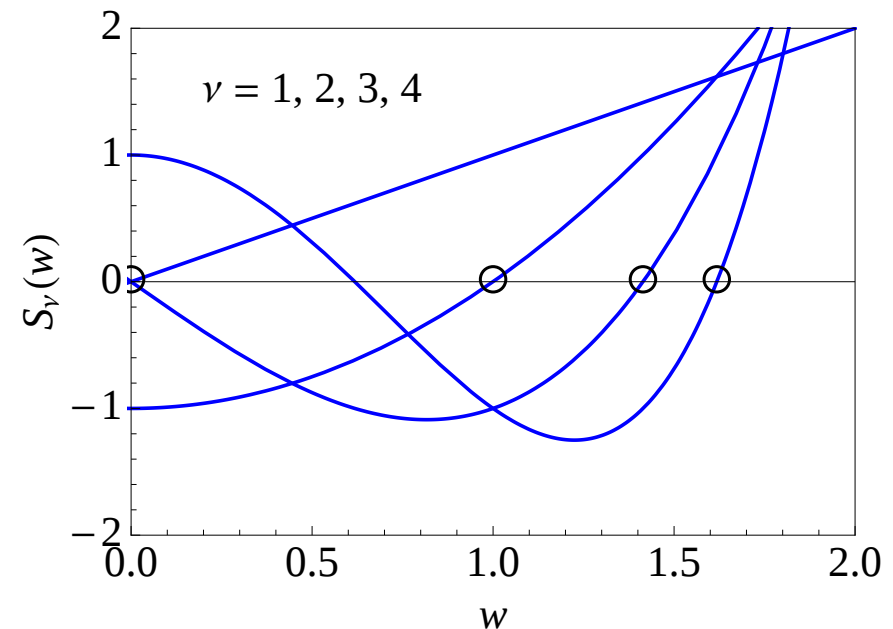
$$(1 + w_\nu - t)S_{\nu-1}(w_\nu) = t\tau S_{\nu-2}(w_\nu) \quad \Rightarrow \quad w_\nu(t, \tau).$$

$$\Rightarrow w_1 = \frac{S_{\nu-1}(w_\nu)}{\tau S_{\nu-2}(w_\nu)}; \quad w_\mu = \frac{S_{\nu-\mu+1}(w_\nu)}{S_{\nu-\mu-1}(w_\nu)}, \quad \mu = 2, \dots, \nu - 1;$$

$$w_\nu = w_{\nu+1} = \dots = w_{2(\nu-1)}.$$

Chebyshev polynomials of the 2nd kind:

- $S_0(w) = 1, \quad S_1(w) = w,$
- $S_{\nu+1}(w) = wS_\nu(w) - S_{\nu-1}(w),$
- $S'_\nu(w) = \frac{2(\nu+1)S_{\nu-1}(w) - \nu w S_\nu(w)}{4 - w^2}.$



Mathematical Model (4)



Free energy: $\bar{G}(t, \tau) = -k_B T \ln \left(1 + w_1^{-1}(t, \tau) \right).$

Population densities from

$$w_m(t, \tau) \bar{N}_m(t, \tau) + \sum_{m'} g_{mm'} \bar{N}_{m'}(t, \tau) = \delta_{m,1}, \quad m = 1, \dots, 2(\nu - 1).$$

$$\text{Helicity: } \bar{N}_{hl}(t, \tau) = 1 - \bar{N}_1 - 2 \sum_{\mu=2}^{\nu-1} \bar{N}_\mu - \sum_{\mu=\nu}^{2(\nu-1)} \bar{N}_\mu = 1 - \left(\frac{\partial \bar{G}}{\partial \epsilon_1} \right)_T - \left(\frac{\partial \bar{G}}{\partial \epsilon_\nu} \right)_T.$$

$$\text{Entropy: } \bar{S}(t, \tau) = \bar{S}(\{\bar{N}_m\}) = - \left(\frac{\partial \bar{G}}{\partial T} \right)_{\epsilon_1, \epsilon_\nu}.$$

$$\text{Enthalpy } \bar{H}(t, \tau) = \sum_m \bar{N}_m \epsilon_m = \bar{G}(t, \tau) + T \bar{S}(t, \tau).$$

First-Order Transition



Solution for $\tau = 0$ and any ν .

High-cooperativity limit.

$$(1 + w_\nu - t)S_{\nu-1}(w_\nu) = 0, \quad \nu = 2, 3, \dots$$

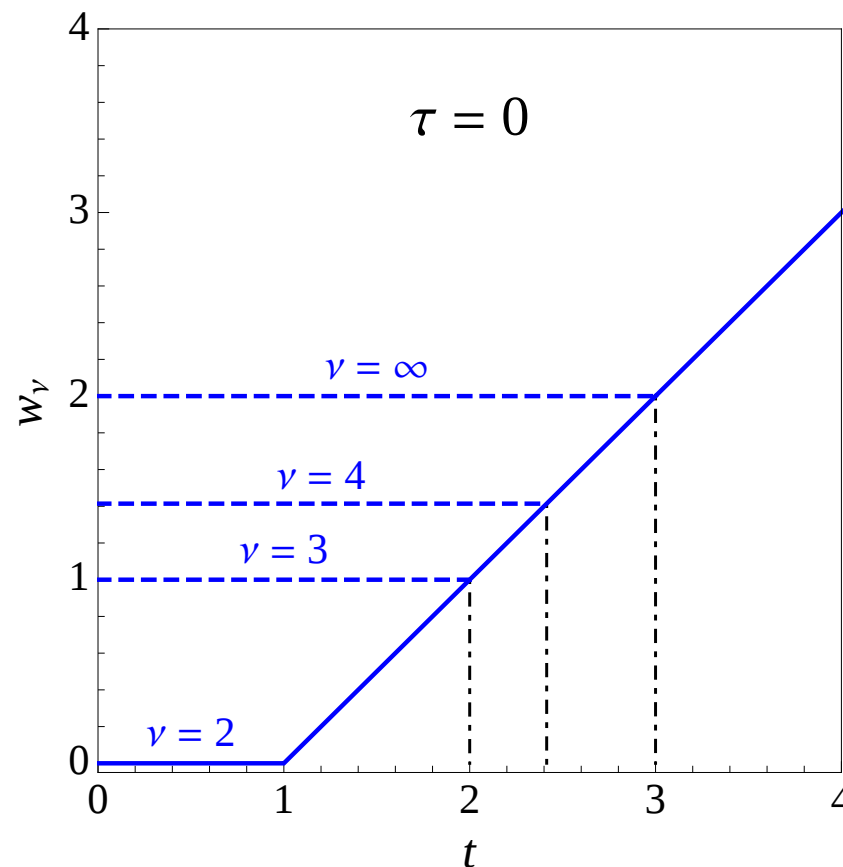
Physical solution $w_0^{(\nu)}$ switches at $t_c^{(\nu)}$.

- $t \leq t_c^{(\nu)}$: $w_0^{(\nu)}$ from $S_{\nu-1}(w_0^{(\nu)}) = 0$.
- $t \geq t_c^{(\nu)}$: $t = 1 + w_0^{(\nu)}$.

Transition point:

$$t_c^{(2)} = 1, \quad t_c^{(3)} = 2, \quad t_c^{(4)} = 1 + \sqrt{2},$$

$$t_c^{(5)} = \frac{1}{2}[1 + \sqrt{5}], \quad \dots, \quad t_c^{(\infty)} = 3.$$





Solution for $0 \leq \tau \leq 1$ and $\nu < \infty$.

Thermal fluctuations soften
1st-order transition into crossover.

$$t(\nu, w_\nu, \tau) = \frac{(1 + w_\nu)S_{\nu-1}(w_\nu)}{S_{\nu-1}(w_\nu) + \tau S_{\nu-2}(w_\nu)}.$$

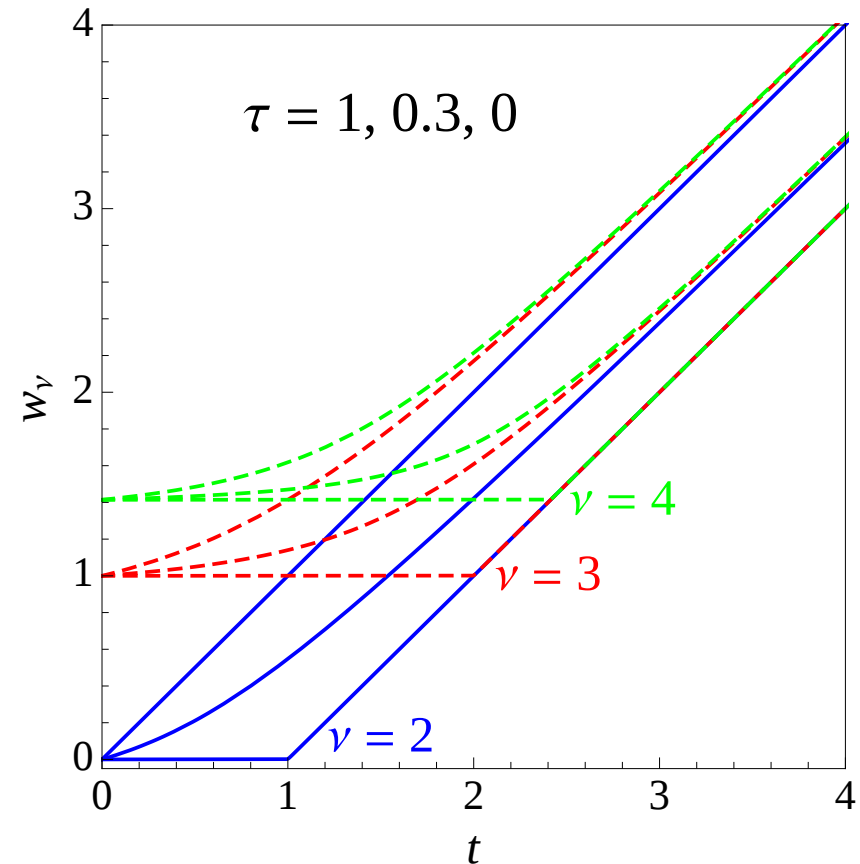
Limit $\nu \rightarrow \infty$ for special values of w_ν :

$$t(\nu, 2, \tau) = \frac{3\nu}{\nu + (\nu - 1)\tau} \xrightarrow{\nu \rightarrow \infty} \frac{3}{1 + \tau}.$$

$$t(\nu, 3, \tau) = \frac{4f_{2\nu}}{f_{2\nu} + \tau f_{2\nu-2}} \xrightarrow{\nu \rightarrow \infty} \frac{4}{1 + \frac{2\tau}{3 + \sqrt{5}}}.$$

Fibonacci numbers:

$$f_n = 0, 1, 1, 2, 3, 5, 8, \dots$$



Second-Order Transition



Solution for $0 < \tau \leq 1$ and $\nu \rightarrow \infty$.

Proliferation of states sharpens crossover into 2nd-order transition.

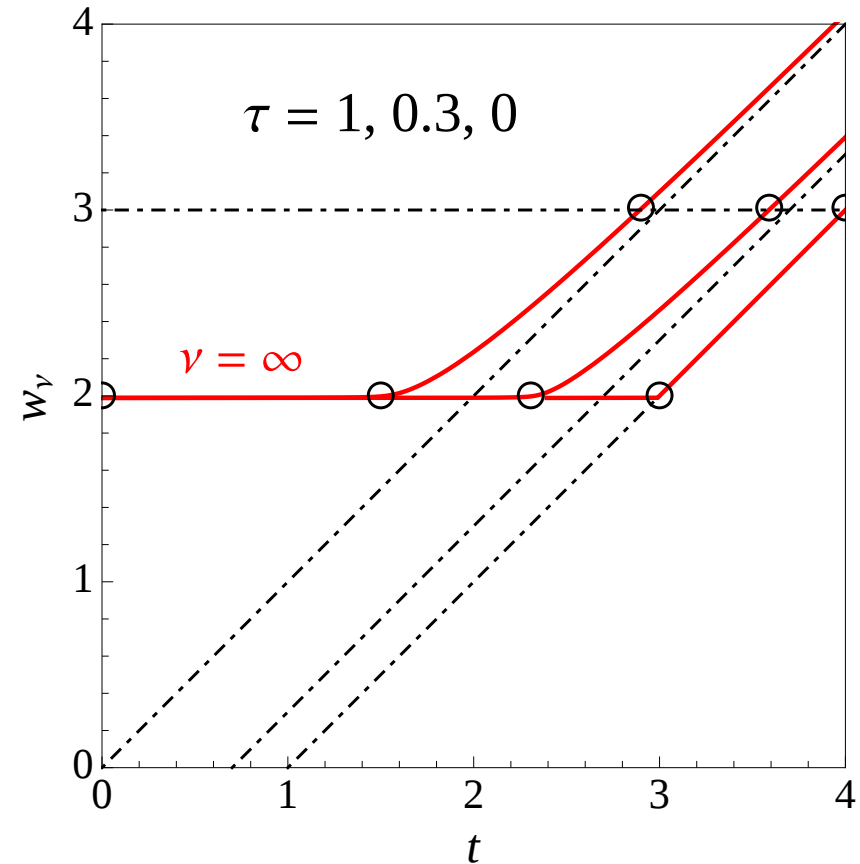
$$t(\nu, w_\nu, \tau) = \lim_{\nu \rightarrow \infty} \frac{(1 + w_\nu) S_{\nu-1}(w_\nu)}{S_{\nu-1}(w_\nu) + \tau S_{\nu-2}(w_\nu)}.$$

Transition point for $\tau > 0$:

$$t_c^{(\infty)} = \frac{3}{1 + \tau}.$$

Asymptotics for $t \gg 1$:

$$w_0^{(\infty)} = t + \tau - 1.$$



Helicity and Entropy: Parametric Representations



Helicity: $\bar{N}_{hl} = 1 - \frac{t}{w_1(1+w_1)} \frac{\partial w_1}{\partial t}.$

Entropy: $\bar{S}/k_B = \ln(1 + w_1^{-1}) + \frac{1}{w_1(1+w_1)} \left[t \ln t \frac{\partial w_1}{\partial t} + \tau \ln \tau \frac{\partial w_1}{\partial \tau} \right].$

- $t = \frac{S_{\nu-1}(w_\nu)[1+w_\nu]}{S_{\nu-1}(w_\nu) + \tau S_{\nu-2}(w_\nu)}, \quad w_1 = \frac{S_{\nu-1}(w_\nu)}{\tau S_{\nu-2}(w_\nu)},$
- $\frac{\partial w_1}{\partial t} = \frac{\partial w_1}{\partial w_\nu} \frac{\partial w_\nu}{\partial t}, \quad \frac{\partial w_1}{\partial \tau} = \frac{\partial w_1}{\partial w_\nu} \frac{\partial w_\nu}{\partial \tau} - \frac{w_1}{\tau}.$

Parametric representations for $\bar{N}_{hl}(t, \tau)$ and $\bar{S}(t, \tau)/k_B$:

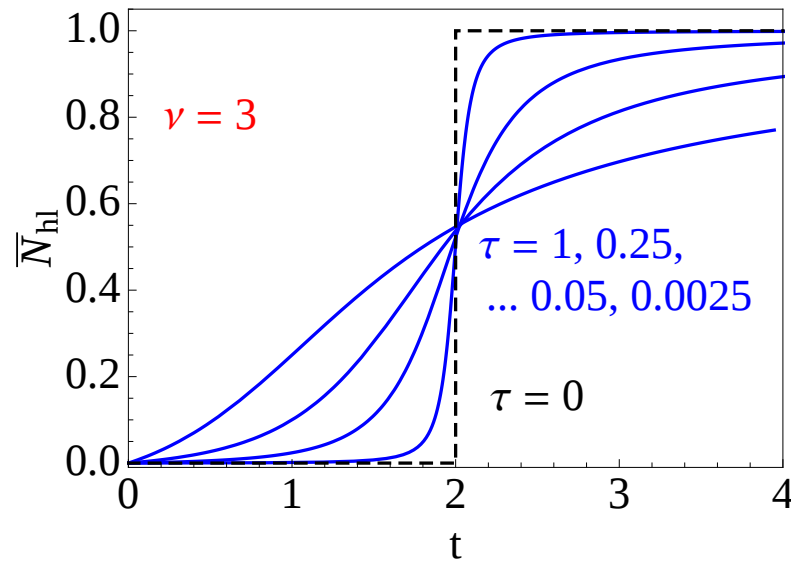
$$\bar{N}_{hl}(\nu, w_\nu, \tau), \quad \bar{S}(\nu, w_\nu, \tau)/k_B, \quad t(\nu, w_\nu, \tau),$$

$$w_0^{(\nu)} < w_\nu < \infty.$$

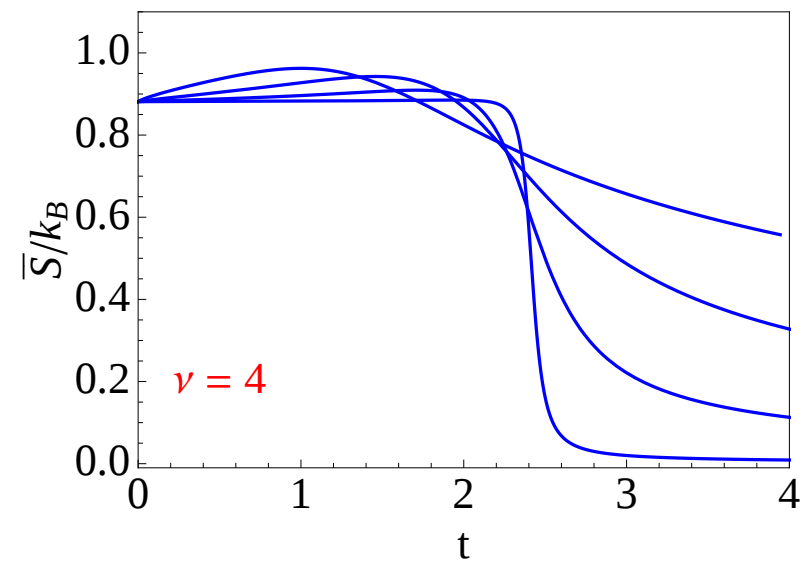
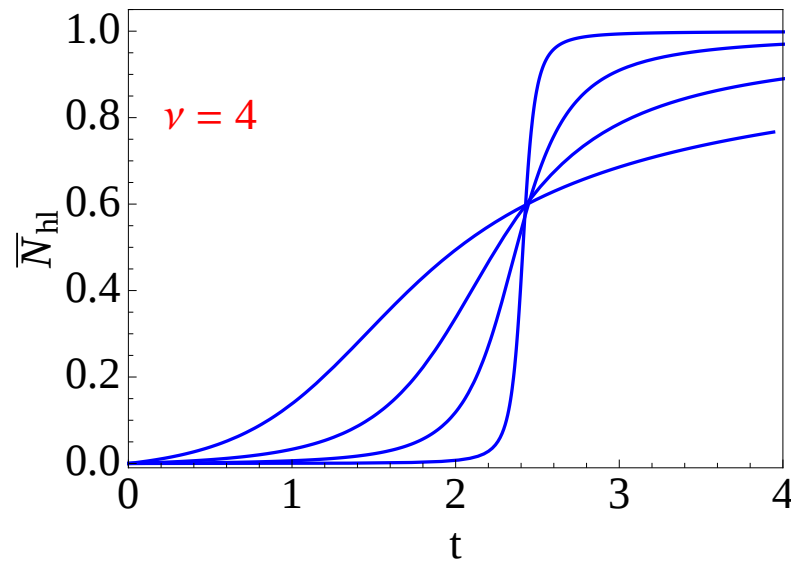
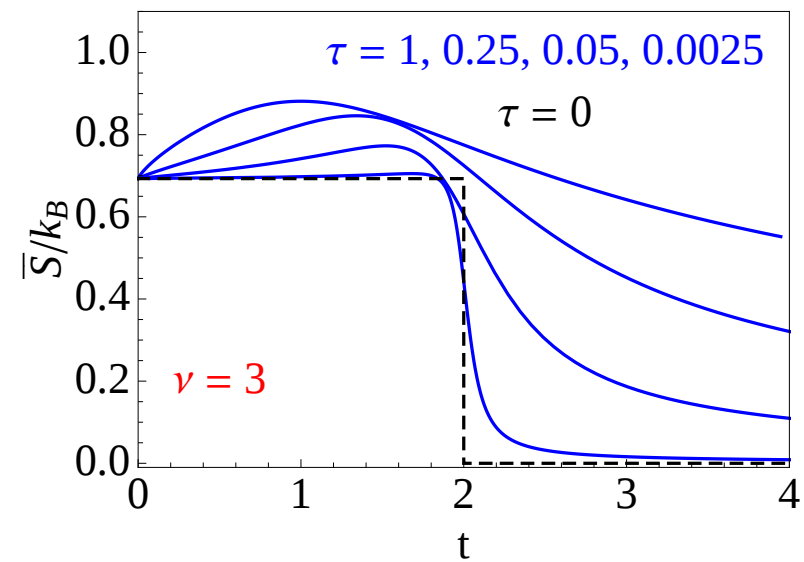
Helicity and Entropy: First Order Transition



Helicity



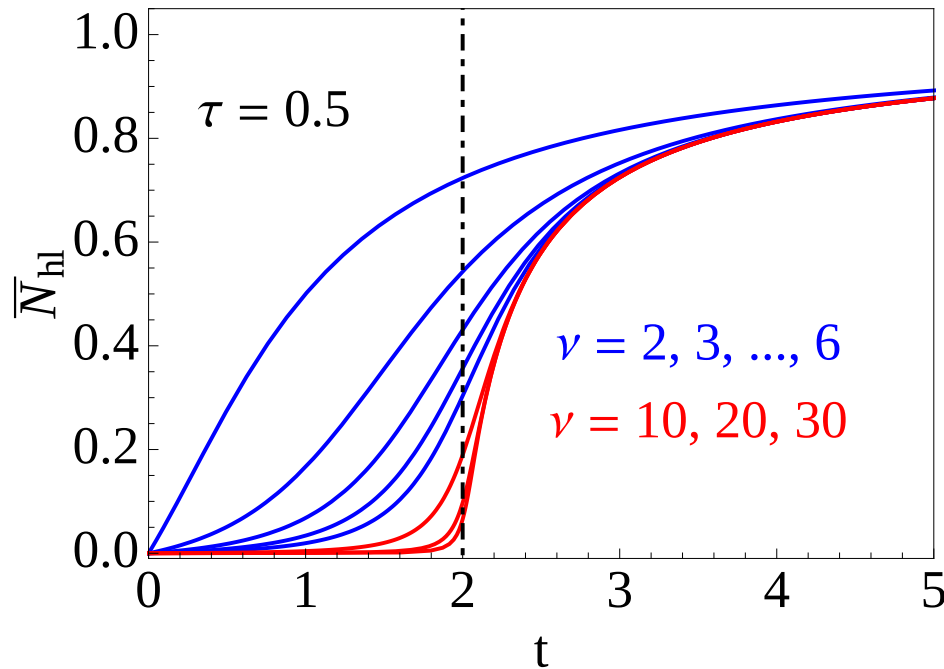
Entropy



Helicity and Entropy: Second Order Transition



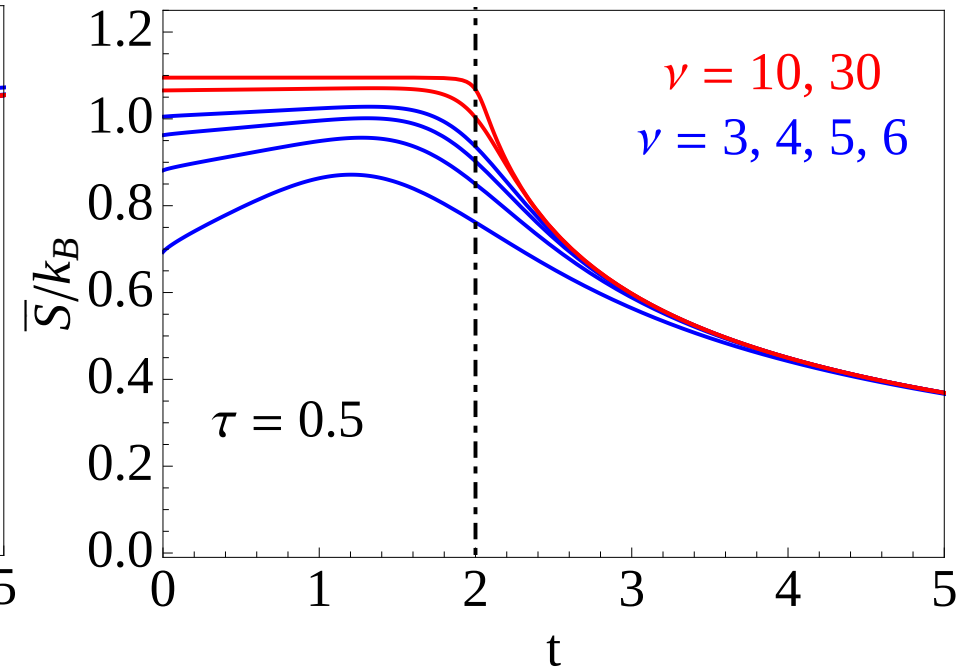
Helicity



helical order parameter

cusp singularity for $t \rightarrow t_c^+$ and $\nu = \infty$

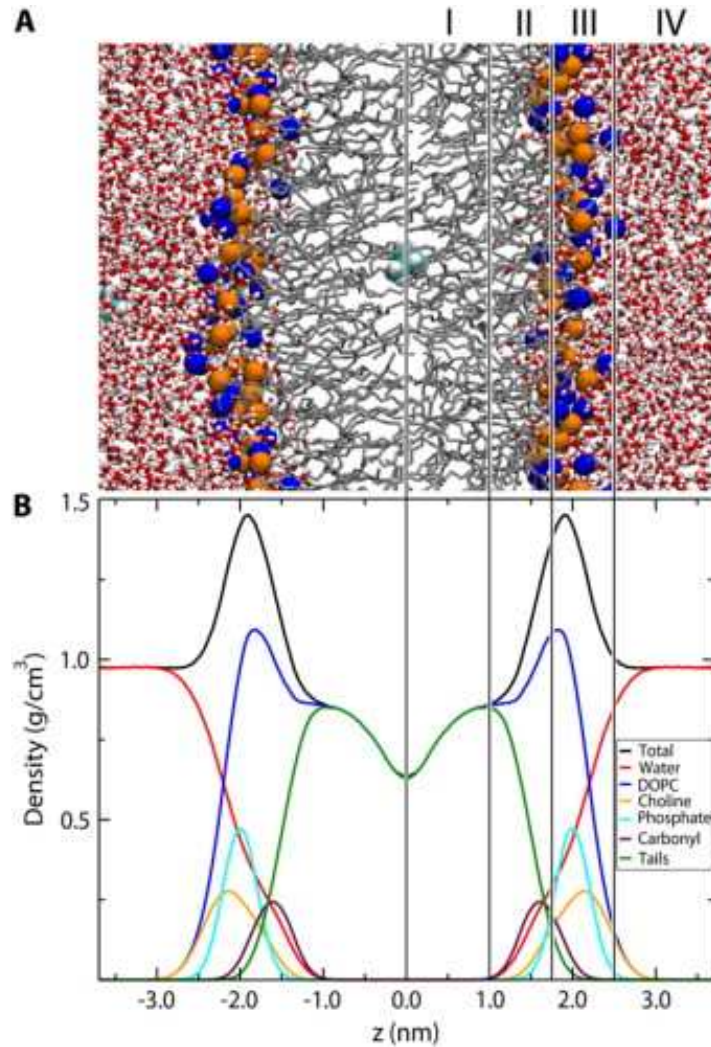
Entropy



divergence for $\nu \rightarrow \infty$ and $t < t_c$

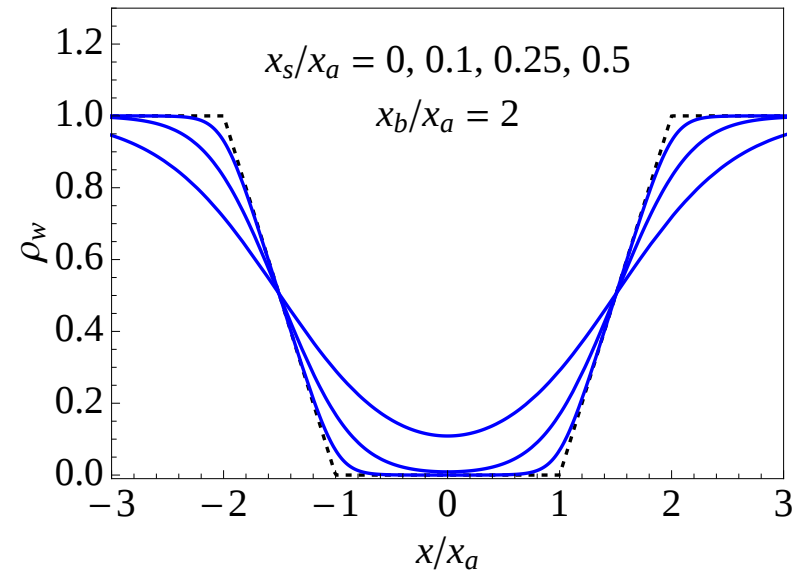
divergence for $t \rightarrow t_c^+$ and $\nu = \infty$

Heterogeneous Environment



MacCallum et al. (2008)

profile of H₂O density across lipid bilayer



$$\rho_w(x) = 2 + \frac{x_s}{x_b - x_a} \left[\ln \left(\frac{1 + e^{(x_a - x)/x_s}}{1 + e^{(x_b - x)/x_s}} \right) + \ln \left(\frac{1 + e^{(x_a + x)/x_s}}{1 + e^{(x_b + x)/x_s}} \right) \right].$$

Profiles (1)

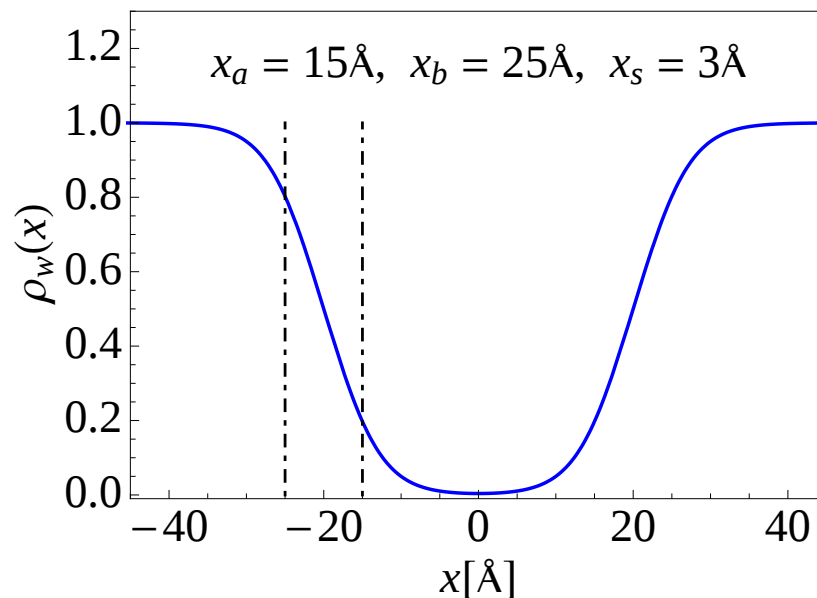


Activation energies: $K_\nu(x) = \frac{\epsilon_H}{k_B T} [1 - \alpha_H \rho_w(x)]$

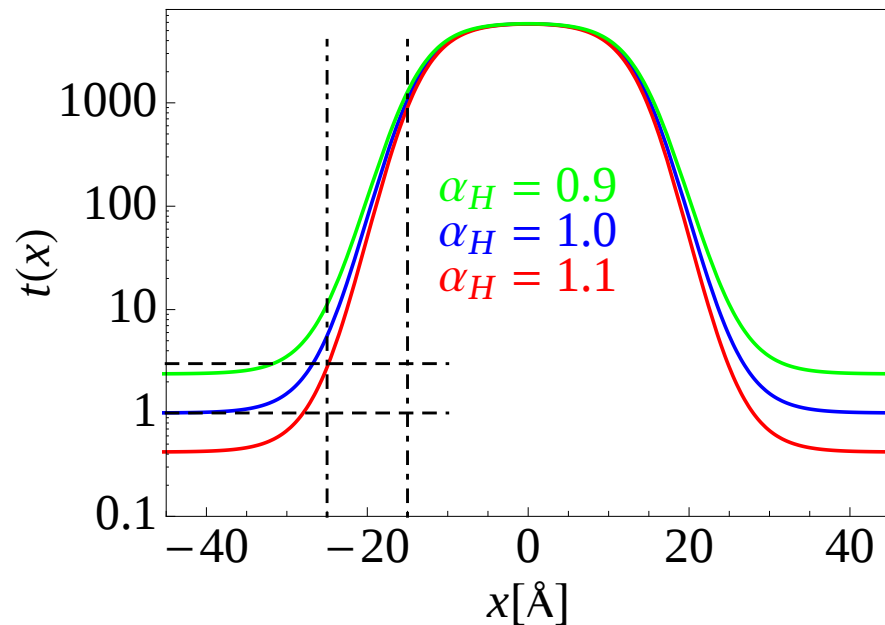
Growth parameter: $t(x) = e^{K_\nu(x)}$. Cooperativity: $\tau = e^{K_\nu(x) - K_1(x)}$, $(0 \leq \tau \leq 1)$

Energy parameter: $\frac{\epsilon_H}{k_B T} \simeq 9$. Enthalpy parameter: $\alpha_H \simeq 1$.

Density of water



Growth parameter



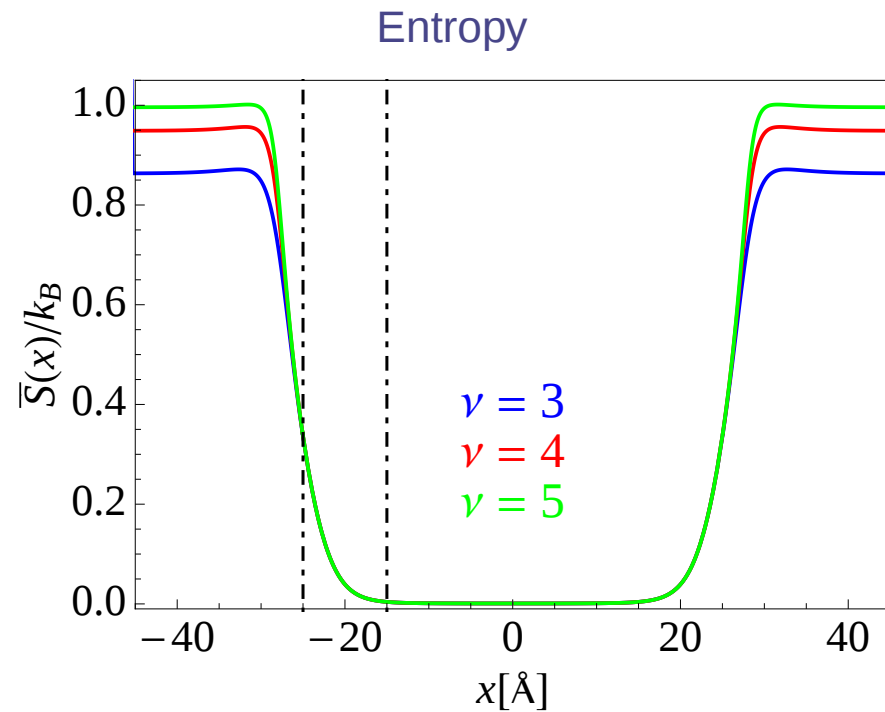
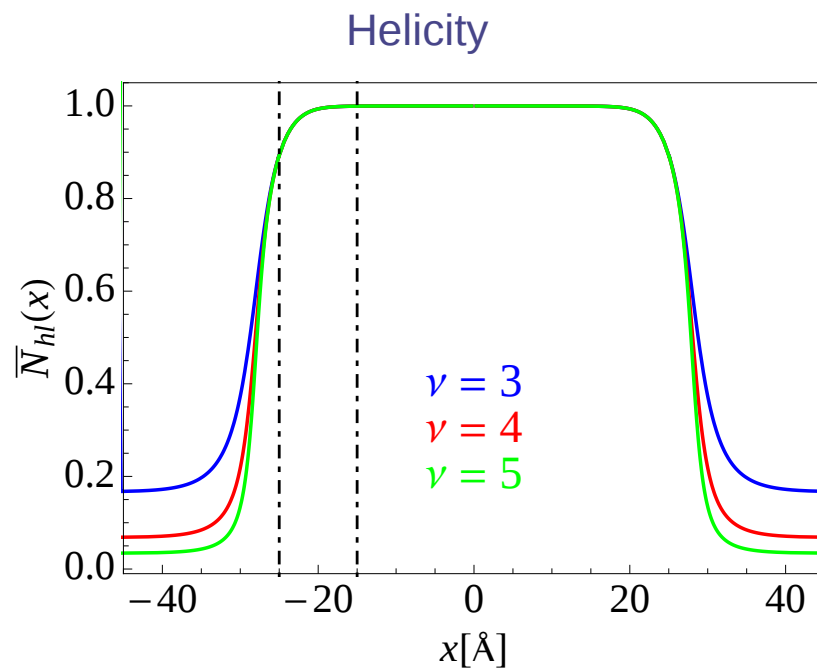
Profiles (2)



Local helicity: $\bar{N}_{hl}(t, \tau)$ with $t = t(x)$.

Entropy density: $\bar{S}(t, \tau)/k_B$ with $t = t(x)$.

Parameter values used: $\frac{\epsilon_H}{k_B T} = 8.7$, $\alpha_H = 1$, $\tau = 0.5$.

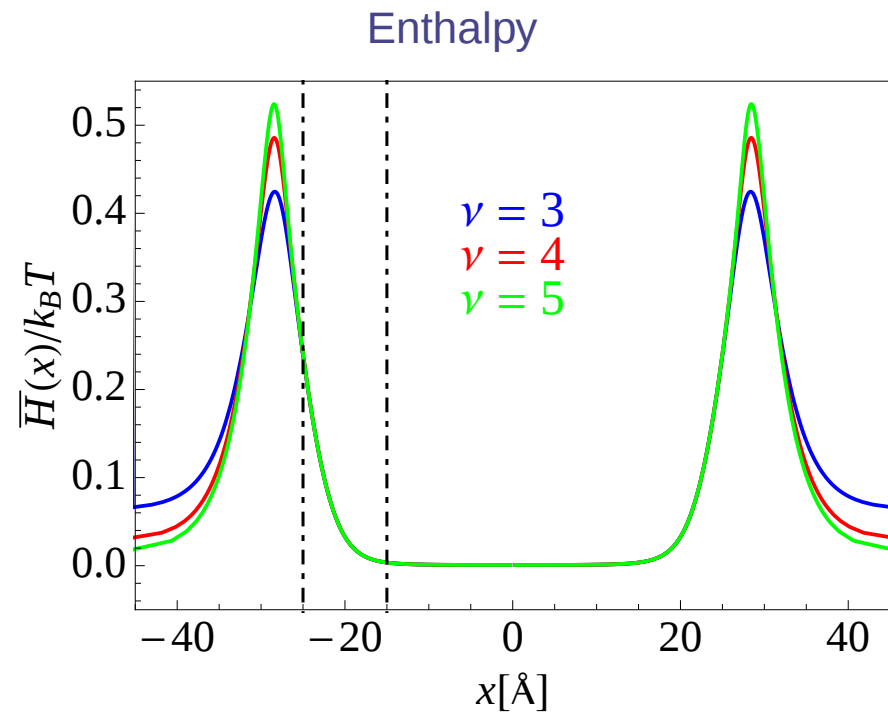
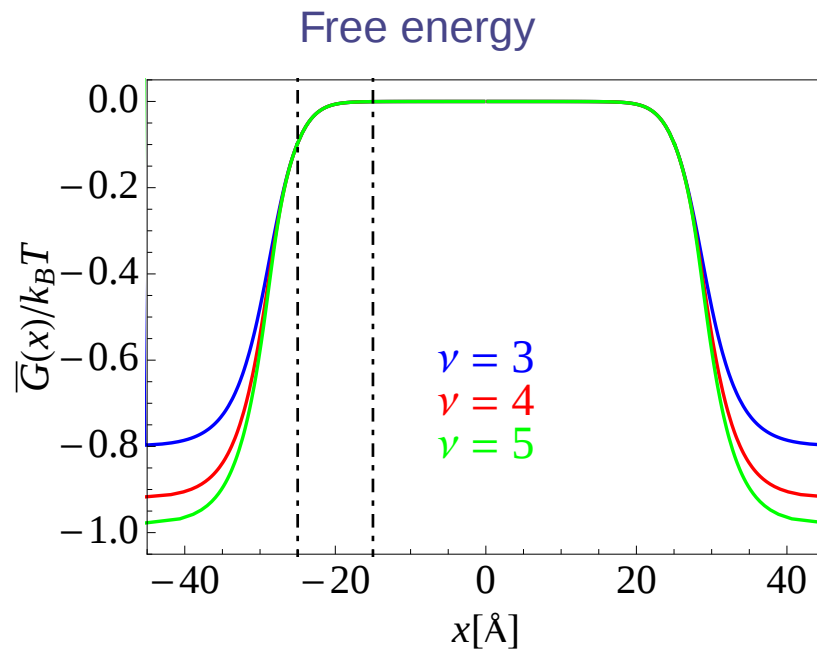


Profiles (3)



Free energy density: $\frac{\bar{G}(t, \tau)}{k_B T} = -\ln \left(1 + w_1^{-1}(t, \tau) \right)$ with $t = t(x)$.

Enthalpy density: $\frac{\bar{H}(t, \tau)}{k_B T} = \frac{\bar{G}(t, \tau)}{k_B T} + \frac{\bar{S}(t, \tau)}{k_B}$ with $t = t(x)$.



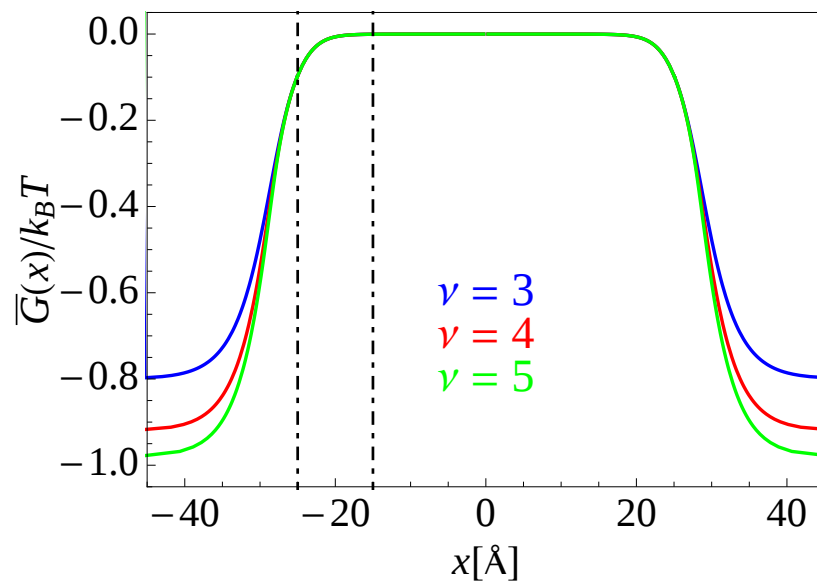
Profiles (4)



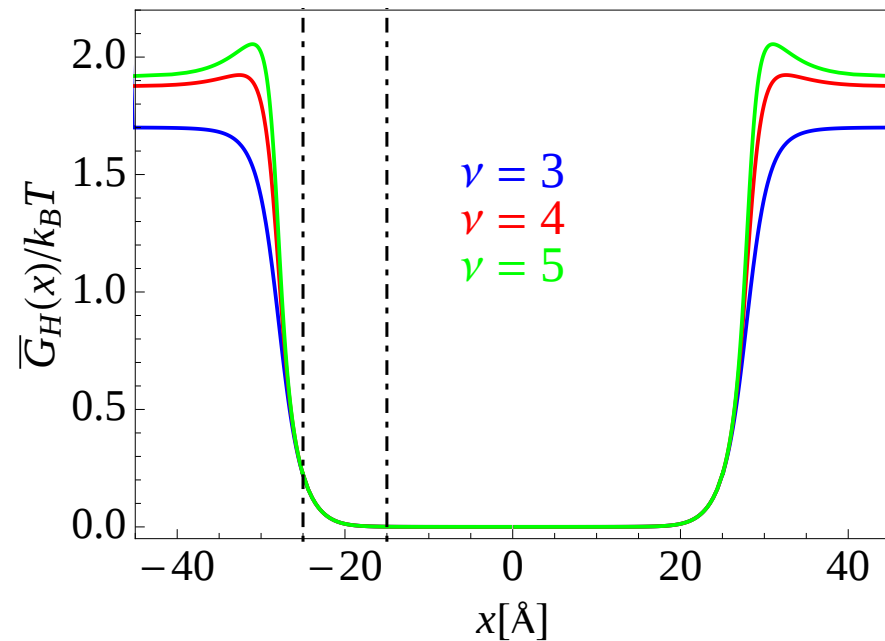
Entropic cost of external H-bond: $\frac{T\Delta\bar{S}_H}{k_B T} \simeq 1.5$.

Amended free energy: $\frac{\bar{G}_H(x)}{k_B T} = \frac{\bar{G}(x)}{k_B T} + 2\frac{\Delta\bar{S}_H}{k_B} [1 - \bar{N}_{hl}(x)]$

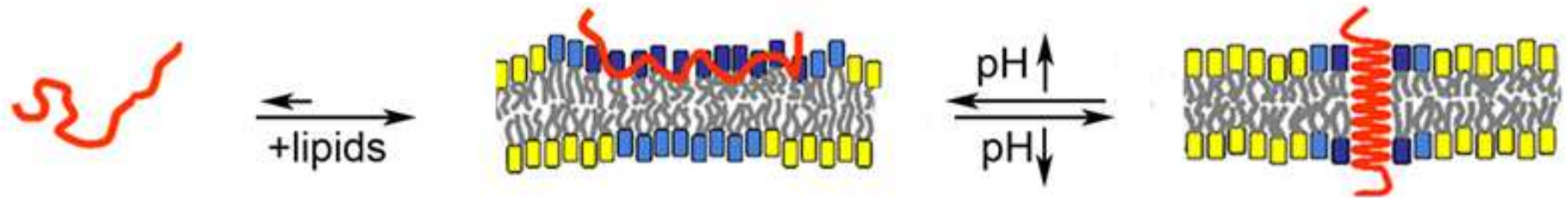
Free energy (raw)



Free energy (amended)



pHLIP: pH - Low - Insertion Peptide



Landscapes (1)



Helicity of peptide: $\bar{N}_{hl}^{(pep)}(x_0) = \sum_{n=-(N_R-1)/2}^{+(N_R-1)/2} \frac{\bar{N}_{hl}(x_0 + nl_h)}{N_R}.$

Free energy of peptide: $\bar{G}_{pep}(x)/k_B T = \sum_{n=-(N_R-1)/2}^{+(N_R-1)/2} \frac{\bar{G}_H(x_0 + nl_h)}{N_R k_B T}.$

Assumption: $x_{n+1} - x_n = l_h = 1.5 \text{ \AA}$ (independent of conformation)

