



Quasiparticles in Spin Chains

Interaction – Thermodynamics – Observability

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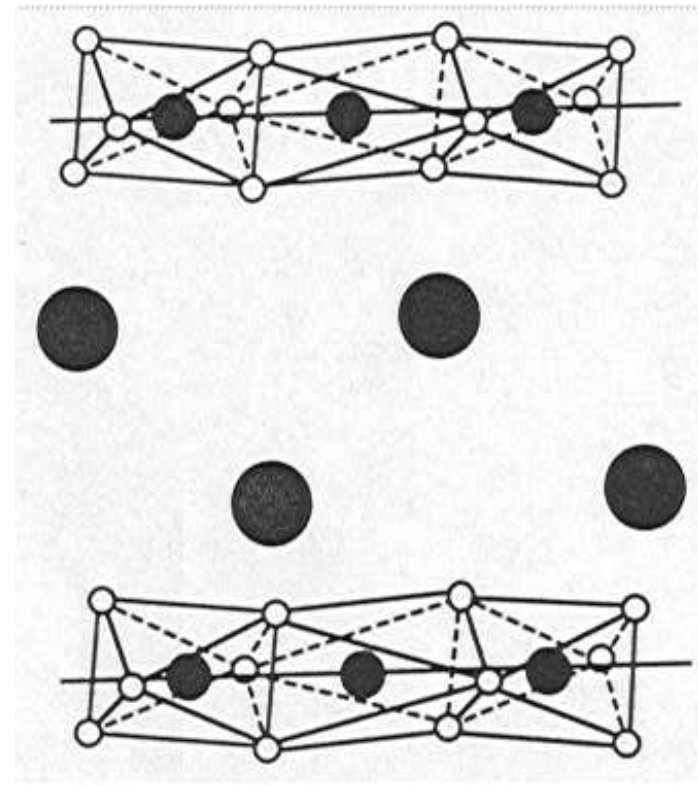
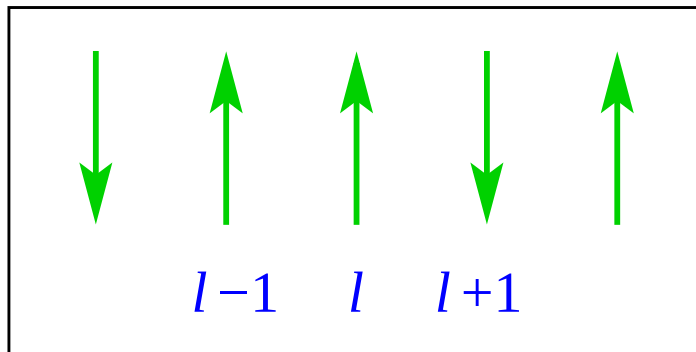
Michael Karbach and Klaus Wiele

Bergische Universität Wuppertal

Quantum Spin Chain



- magnetic compound
- magnetic ions
- exchange coupling
- charge-spin separation
- collective spin modes
- quasiparticle composition
- quasiparticle interaction
- thermodynamics, dynamics





XXZ model:
$$H = \sum_{\ell=1}^N [J_{\perp} (S_{\ell}^x S_{\ell+1}^x + S_{\ell}^y S_{\ell+1}^y) + J_{\parallel} S_{\ell}^z S_{\ell+1}^z]$$

XX :
$$H = J \sum_{\ell=1}^N [S_{\ell}^x S_{\ell+1}^x + S_{\ell}^y S_{\ell+1}^y]$$

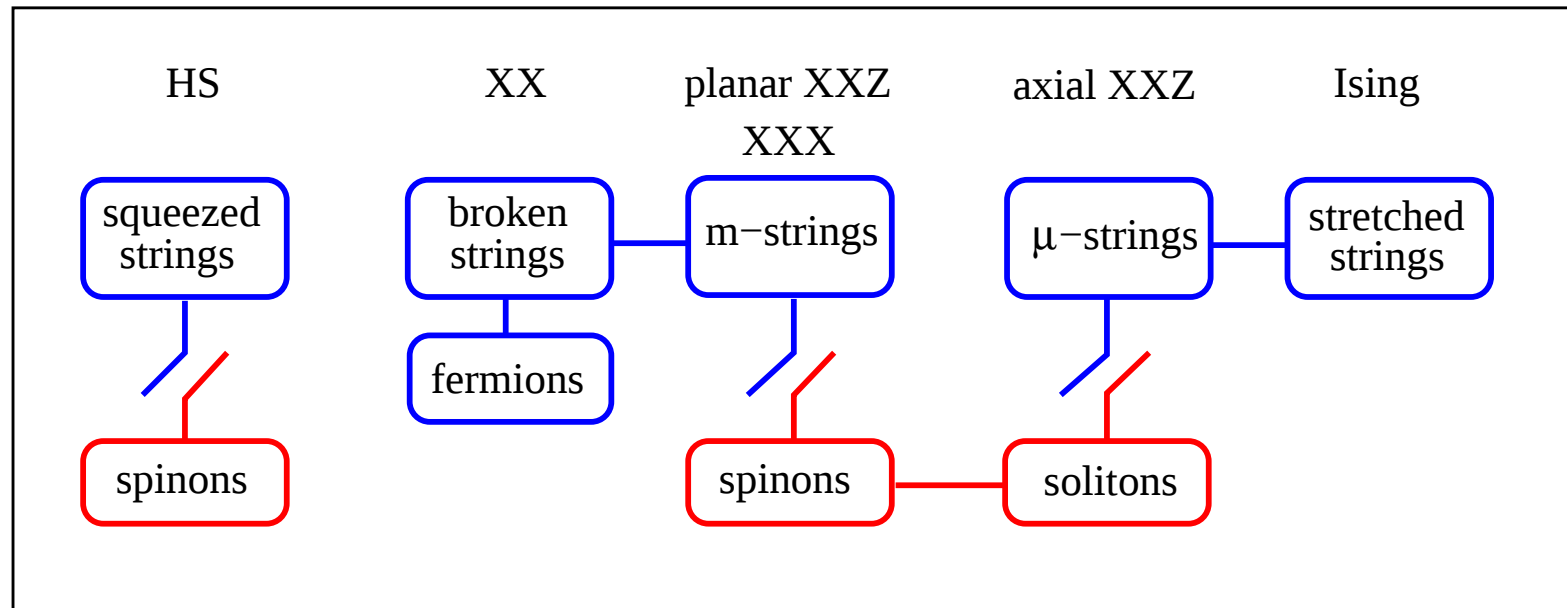
XXX :
$$H = J \sum_{\ell=1}^N \mathbf{S}_{\ell} \cdot \mathbf{S}_{\ell+1}$$

Ising :
$$H = J \sum_{\ell=1}^N S_{\ell}^z S_{\ell+1}^z$$

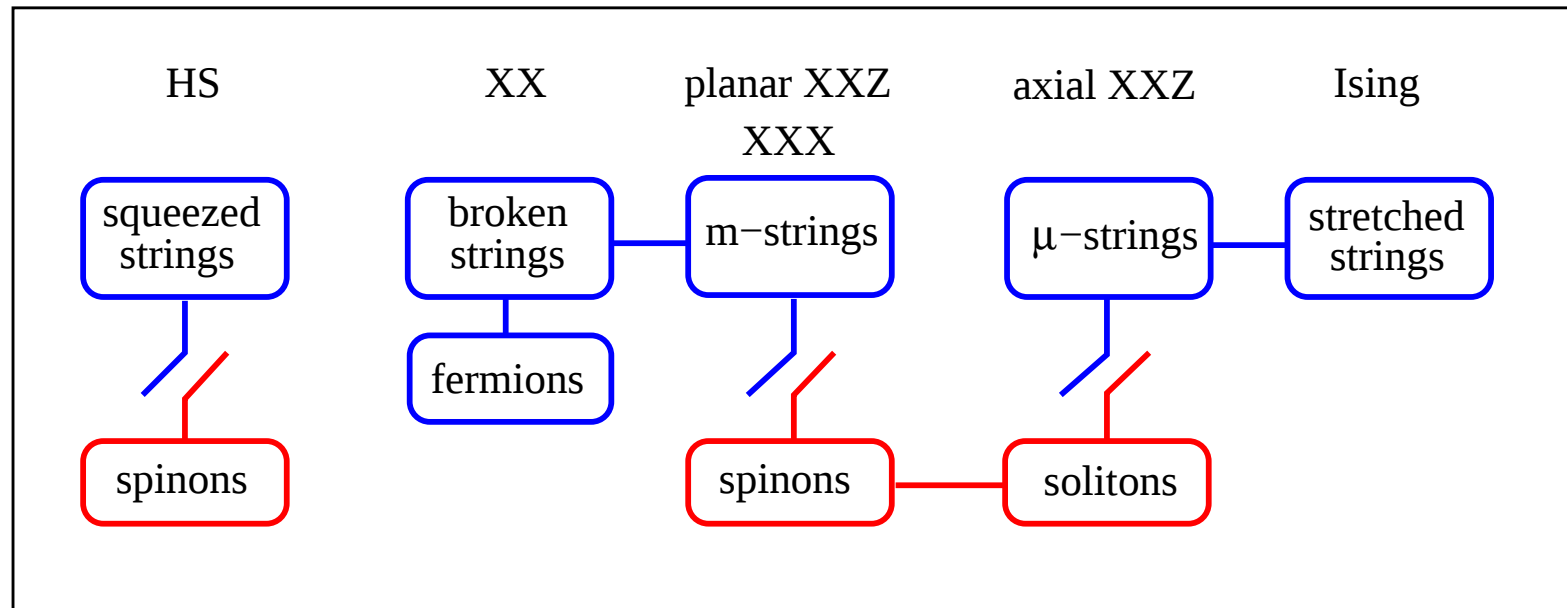
- symmetries
- conservation laws, integrability
- exact analysis
- interacting quasiparticles
- pseudovacuum, physical vacuum

Haldane-Shastry model:

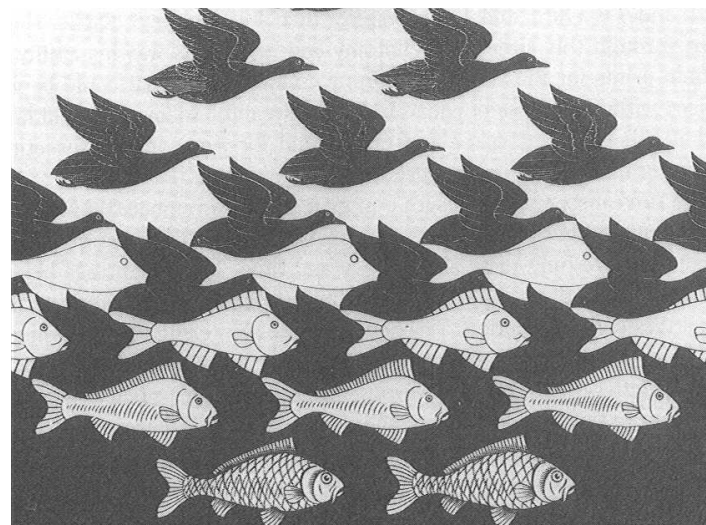
$$H = \sum_{\ell < \ell'} J_{\ell\ell'} \mathbf{S}_{\ell} \cdot \mathbf{S}_{\ell'}, \quad J_{\ell\ell'} = J \left[\frac{N}{\pi} \sin \frac{\pi(\ell - \ell')}{N} \right]^{-2}$$



- dispersion
- spin
- scattering, binding
- exclusion statistics
- selection rules



- dispersion
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- selection rules



XX Model: Jordan-Wigner Fermions



Hamiltonian: $H = J \sum_n [S_n^x S_{n+1}^x + S_n^y S_{n+1}^y] = J \sum_{\{p_i\}} \cos p_i c_{p_i}^\dagger c_{p_i}.$

Jordan-Wigner transform: $S_n^z = c_n^\dagger c_n - \frac{1}{2}, \quad S_n^+ = S_n^x + iS_n^y = c_n^\dagger \exp \left(i\pi \sum_{j < n} c_j^\dagger c_j \right).$

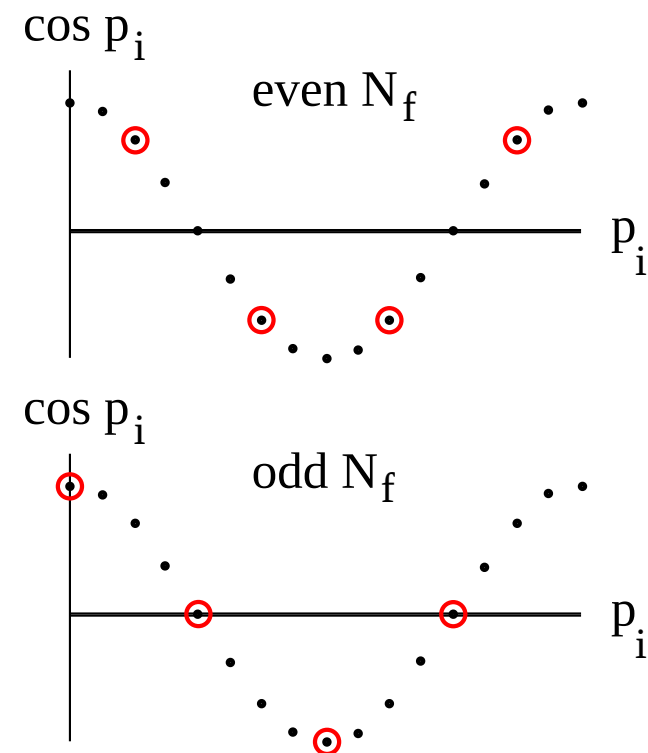
Fourier transform: $c_p = \frac{1}{\sqrt{N}} \sum_{n=1}^N e^{ipn} c_n.$

Magnetization: $M_z = \frac{N}{2} - N_f.$

Fermion momenta: $p_i = \frac{\pi}{N} \bar{m}_i,$

$$\bar{m}_i \in \begin{cases} \{1, 3, \dots, 2N - 1\} & (N_f \text{ even}) \\ \{0, 2, \dots, 2N - 2\} & (N_f \text{ odd}) \end{cases}$$

Wave number: $k = \frac{\pi}{N} \sum_{i=1}^{N_f} p_i \bmod(2\pi)$



XX Model: Fermions Versus Spinons I



N_f	$\bar{m}_i$				M_z	k	E
	0	2	4	6			
0	○	○	○	○	+2	0	0.000
1	○	●	○	○	+1	1	0.000
	○	○	●	○		2	-1.000
	○	○	○	●		3	0.000
	●	○	○	○		0	1.000
2	○	●	●	○	0	0	-1.414
	○	●	○	●		1	0.000
	●	●	○	○		2	0.000
	○	○	●	●		2	0.000
	●	○	●	○		3	0.000
	●	○	○	●		0	1.414
3	●	○	●	●	-1	1	0.000
	○	●	●	●		2	-1.000
	●	●	●	○		3	0.000
	●	●	○	●		0	1.000
4	●	●	●	●	-2	0	0.000

Magnetization.

- from fermions:

$$M_z = \frac{N}{2} - N_f;$$

- from spinons:

$$M_z = \frac{1}{2}(N_+ - N_-),$$

$$N_s = N_+ + N_-.$$

XX Model: Fermions Versus Spinons I



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	○	○	●	○		2	-1.000
	○	○	○	●		3	0.000
	●	○	○	○		0	1.000
2	○	●	●	○	0	0	-1.414
	○	●	○	●		1	0.000
	●	●	○	○		2	0.000
	○	○	●	●		2	0.000
	●	○	●	○		3	0.000
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	○	●	●	●		2	-1.000
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	●	●	○	●		0	1.000
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XX Model: Fermions Versus Spinons I



N_f	$\bar{m}_i$				M_z	σ_i	k	E
	0	2	4	6				
0					+2	+,+,+,+	0	0.000
1					+1	+,+	1	0.000
					+1	+,+	2	-1.000
					+1	+,+	3	0.000
					+,+,+,-		0	1.000
2					0		0	-1.414
						+, -	1	0.000
						+, -	2	0.000
						+, -	2	0.000
						+, -	3	0.000
					+,+,-,-		0	1.414
3					-1	-,-	1	0.000
						-,-	2	-1.000
						-,-	3	0.000
					+, -, -, -		0	1.000
4					-2	-,-,-,-	0	0.000

Magnetization.

- from fermions:

$$M_z = \frac{N}{2} - N_f;$$

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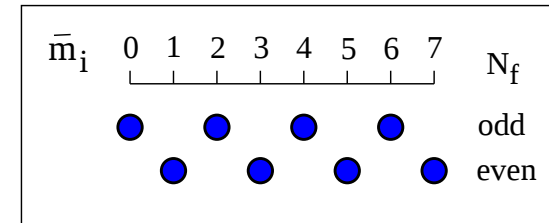
spin-down spinon
 spin-up spinon

XX Model: Fermions Versus Spinons II

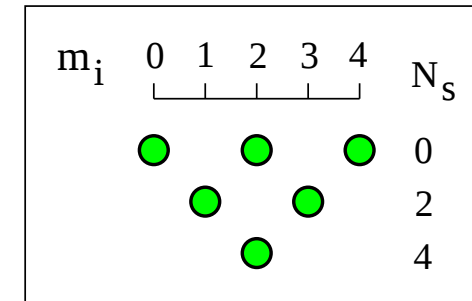


N_f	$\bar{m}_i$	M_z	m_i^σ	k	E
	0 2 4 6				
0		+2	$2^+, 2^+, 2^+, 2^+$	0	0.000
1		+1	$1^+, 1^+$	1	0.000
			$1^+, 3^+$	2	-1.000
			$3^+, 3^+$	3	0.000
			$2^+, 2^+, 2^+, 2^-$	0	1.000
2		0		0	-1.414
			$1^+, 1^-$	1	0.000
			$1^+, 3^-$	2	0.000
			$3^+, 1^-$	2	0.000
			$3^+, 3^-$	3	0.000
			$2^+, 2^+, 2^-, 2^-$	0	1.414
3		-1	$1^-, 1^-$	1	0.000
			$1^-, 3^-$	2	-1.000
			$3^-, 3^-$	3	0.000
			$2^+, 2^-, 2^-, 2^-$	0	1.000
4		-2	$2^-, 2^-, 2^-, 2^-$	0	0.000

Fermion momenta: $p_i = (\pi/N)\bar{m}_i$



Spinon orbital momenta: $\kappa_i = (\pi/N)m_i$



Wave number:

$$k = \frac{\pi}{N} \sum_{i=1}^{N_f} p_i \bmod(2\pi)$$

$$k = \left(\frac{\pi}{N} \sum_{\sigma=\pm} \sum_{j_\sigma=1}^{N_\sigma} m_{j_\sigma}^\sigma - \frac{N\pi}{2} \right) \bmod(2\pi)$$

Exclusion Statistics of Spinons



- $N_S = N_+ + N_-$
- $M_z = \frac{1}{2}(N_+ - N_-)$

$M_z \setminus N_S$	0	2	4	6	
3	–	–	–	1	1
2	–	–	5	1	6
1	–	6	8	1	15
0	1	9	9	1	20
–1	–	6	8	1	15
–2	–	–	5	1	6
–3	–	–	–	1	1
	1	21	35	7	64

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$M_z \setminus N_S$	1	3	5	7	
7/2	–	–	–	1	1
5/2	–	–	6	1	7
3/2	–	10	10	1	21
1/2	4	18	12	1	35
–1/2	4	18	12	1	35
–3/2	–	10	10	1	21
–5/2	–	–	6	1	7
–7/2	–	–	–	1	1
	8	56	56	8	128

Exclusion Statistics of Spinons



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- $M_z = \frac{1}{2}(N_+ - N_-)$

Multiplicity expression [Haldane 1991]:

- $W(N_+, N_-) = \prod_{\sigma=\pm} \binom{d_\sigma + N_\sigma - 1}{N_\sigma}$
- $d_\sigma = A_\sigma - \sum_{\sigma'} g_{\sigma\sigma'}(N_{\sigma'} - \delta_{\sigma\sigma'})$
- $A_\sigma = \frac{1}{2}(N + 1)$
- $g_{\sigma\sigma'} = \frac{1}{2}$
- $\sum_{N_+, N_-} W(N_+, N_-) = 2^N.$

$M_z \setminus N_S$	0	2	4	6	
3	–	–	–	1	1
2	–	–	5	1	6
1	–	6	8	1	15
0	1	9	9	1	20
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Bethe Ansatz for Spinons



Spinon quantum numbers: $\frac{N_s}{2} \leq m_1^\sigma \leq m_2^\sigma \leq \dots \leq m_{N_\sigma}^\sigma \leq N - \frac{N_s}{2}, \quad \sigma = \pm.$

Energy of XX eigenstate: $E(\{m_{j+}^+\}, \{m_{j-}^-\}) = E_0(M_z) + \sum_{\sigma=\pm} \sum_{j_\sigma=1}^{N_\sigma} \sin k_{j_\sigma}^\sigma.$

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Bethe ansatz equations: $Nk_i^\sigma = \pi m_i^\sigma + \sum_{\sigma'=\pm} \sum_{j=1}^{N_{\sigma'}} \theta_{XX}(k_i^\sigma - k_j^{\sigma'}),$

Dynamical spinon interaction: $\theta_{XX}(k_i^\sigma - k_j^{\sigma'}) = \pi \operatorname{sgn}(k_i^\sigma - k_j^{\sigma'}) \delta_{\sigma\sigma'}.$

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Spinon momenta: $k_{j_\sigma}^\sigma = \frac{\pi}{N} (m_{j_\sigma}^\sigma - N_\sigma - 1 + 2j_\sigma), \quad j_\sigma = 1, \dots, N_\sigma, \quad \sigma = \pm.$

Range: $\frac{\pi}{N} \left(\frac{1}{2} N_s - N_\sigma + 1 \right) \leq k_1^\sigma < k_2^\sigma < \dots < k_{N_\sigma}^\sigma \leq \frac{\pi}{N} \left(N - \frac{1}{2} N_s + N_\sigma - 1 \right).$

Bethe Ansatz for Spinons



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Range: $\frac{\pi}{N} \left(\frac{1}{2} N_s - N_\sigma + 1 \right) \leq k_1^\sigma < k_2^\sigma < \dots < k_{N_\sigma}^\sigma \leq \frac{\pi}{N} \left(N - \frac{1}{2} N_s + N_\sigma - 1 \right).$

Statistical spinon interaction: $\Delta d_\sigma = - \sum_{\sigma'=\pm} g_{\sigma\sigma'} \Delta N_{\sigma'}, \quad g_{\sigma\sigma'} = \frac{1}{2}.$



Gibbs free energy per site: $g = u - Ts - hm_z$

- $u = \frac{1}{2\pi} \sum_{\sigma} \int_{k_{\min}^{\sigma}}^{k_{\max}^{\sigma}} dk \rho_{\sigma}(k) \sin k - \frac{1}{\pi} \cos(\pi m_z)$
- $s = -\frac{k_B}{2\pi} \sum_{\sigma} \int_{k_{\min}^{\sigma}}^{k_{\max}^{\sigma}} dk \left[\rho_{\sigma}(k) \ln \rho_{\sigma}(k) + (1 - \rho_{\sigma}(k)) \ln (1 - \rho_{\sigma}(k)) \right]$
- $m_z = \frac{1}{2} \sum_{\sigma} \sigma n_{\sigma} = \frac{1}{4\pi} \sum_{\sigma} \int_{k_{\min}^{\sigma}}^{k_{\max}^{\sigma}} dk \sigma \rho_{\sigma}(k)$



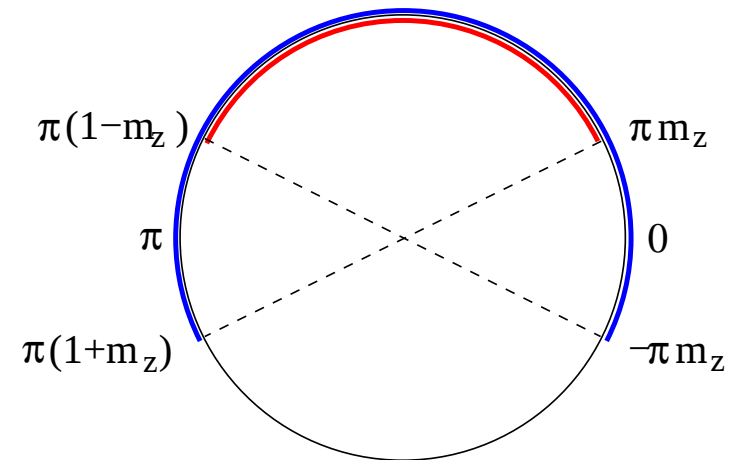
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where $k_{\min}^{\sigma} = -\sigma\pi m_z$, $k_{\max}^{\sigma} = \pi(1 + \sigma m_z)$

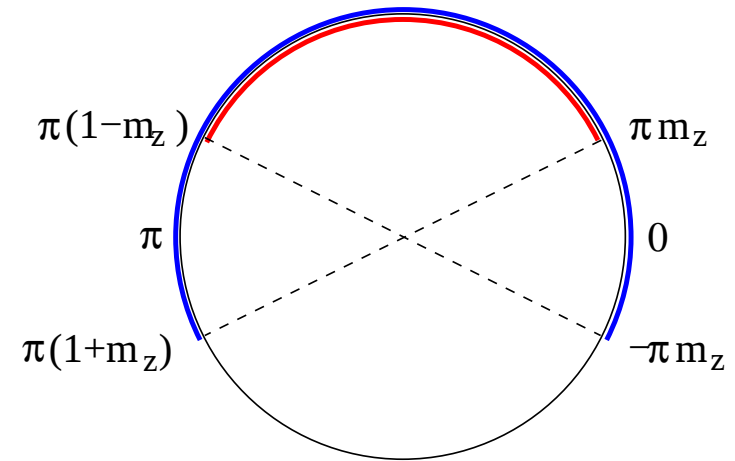




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where $k_{\min}^{\sigma} = -\sigma\pi m_z$, $k_{\max}^{\sigma} = \pi(1 + \sigma m_z)$



$$\Rightarrow g = -\frac{1}{\pi} \cos(\pi m_z) + \frac{1}{2\pi} \sum_{\sigma} \int_{-\sigma\pi m_z}^{\pi(1+\sigma m_z)} dk \left\{ \rho_{\sigma}(k) \sin k + k_B T \left[\rho_{\sigma}(k) \ln \rho_{\sigma}(k) + (1 - \rho_{\sigma}(k)) \ln (1 - \rho_{\sigma}(k)) \right] - \frac{\hbar}{2} \sigma \rho_{\sigma}(k) \right\}$$



Extension of domains: $\rho_+(k) + \rho_-(k - \pi) = 1$

$$g = \frac{1}{4\pi} \sum_{\sigma} \int_{-\pi}^{+\pi} dk \left\{ \rho_{\sigma}(k) \sin k + k_B T \left[\rho_{\sigma}(k) \ln \rho_{\sigma}(k) + \left(1 - \rho_{\sigma}(k) \right) \ln \left(1 - \rho_{\sigma}(k) \right) \right] - h\sigma \rho_{\sigma}(k) \right\}.$$



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Spinon densities from $\delta g = 0$: $\rho_{\sigma}(k) = \left[e^{\beta(\sin k - h\sigma)} + 1 \right]^{-1}$



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Spinon densities from $\delta g = 0$: $\rho_{\sigma}(k) = \left[e^{\beta(\sin k - h\sigma)} + 1 \right]^{-1}$

Gibbs free energy per site: $\beta g(T, h) = -\frac{1}{2\pi} \int_{-\pi}^{+\pi} dk \ln \left(2 \cosh \left(\frac{\beta}{2} (\sin k - h) \right) \right)$

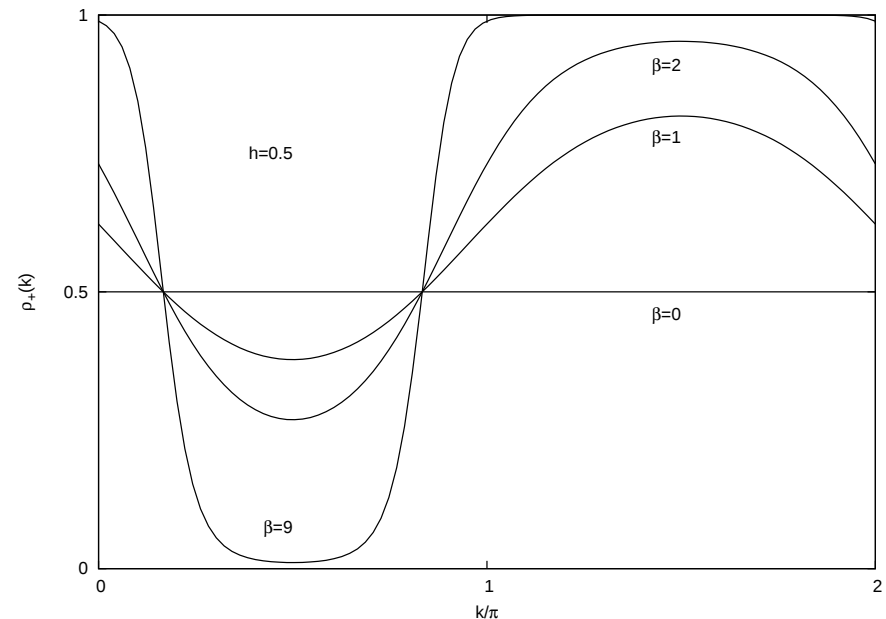
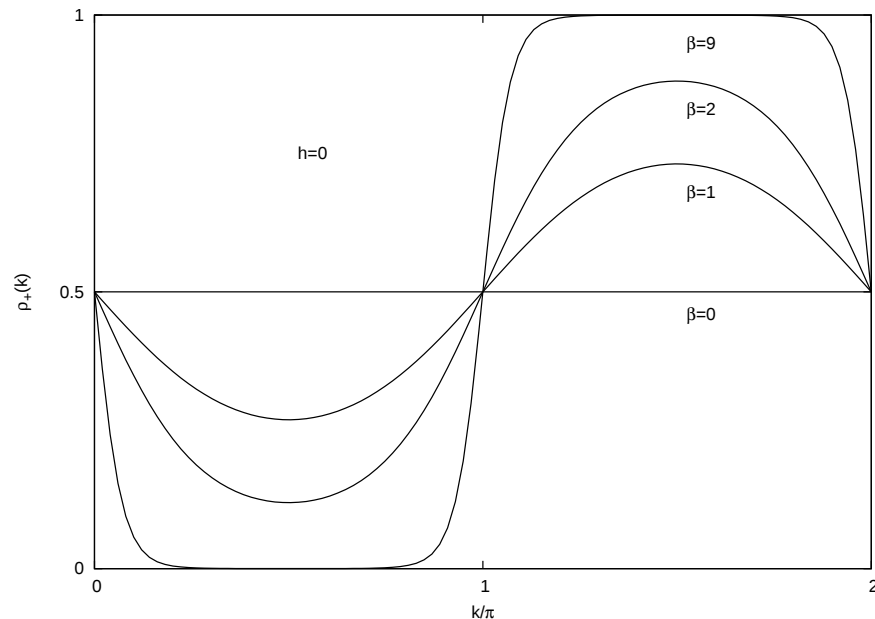
Thermodynamics of Spinons II



Extension of domains: $\rho_+(k) + \rho_-(k - \pi) = 1$

$$g = \frac{1}{4\pi} \sum_{\sigma} \int_{-\pi}^{+\pi} dk \left\{ \rho_{\sigma}(k) \sin k + k_B T \left[\rho_{\sigma}(k) \ln \rho_{\sigma}(k) + (1 - \rho_{\sigma}(k)) \ln (1 - \rho_{\sigma}(k)) \right] - h \sigma \rho_{\sigma}(k) \right\}.$$

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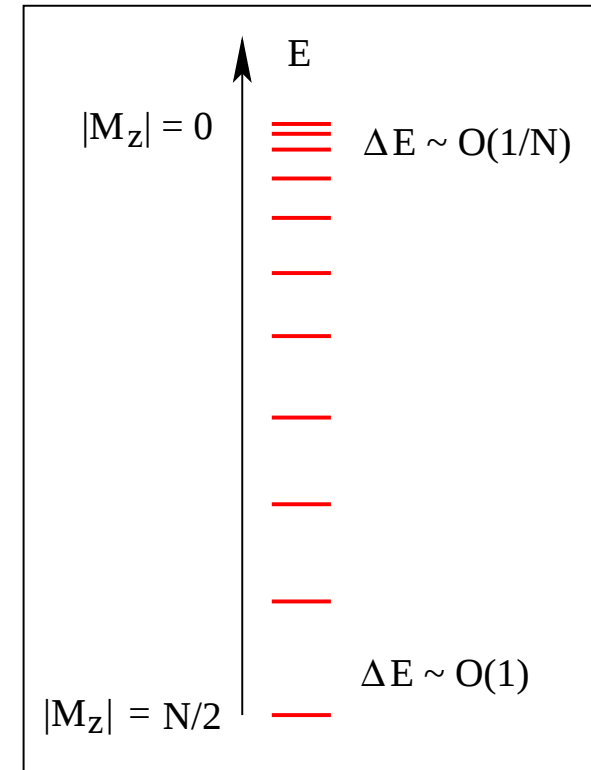


Spinon Spin Interaction



Spinon orbital filled to capacity ($N_s = N$).

- non-uniform level splitting,
- $n_s = \frac{N_s}{N}$, $m_z = \frac{M_z}{N}$, $\epsilon = \frac{E}{N}$,
- $\epsilon = \frac{1}{\pi} \cos(\pi m_z)$, $-\frac{1}{2} \leq m_z \leq +\frac{1}{2}$,



Spinon Spin Interaction

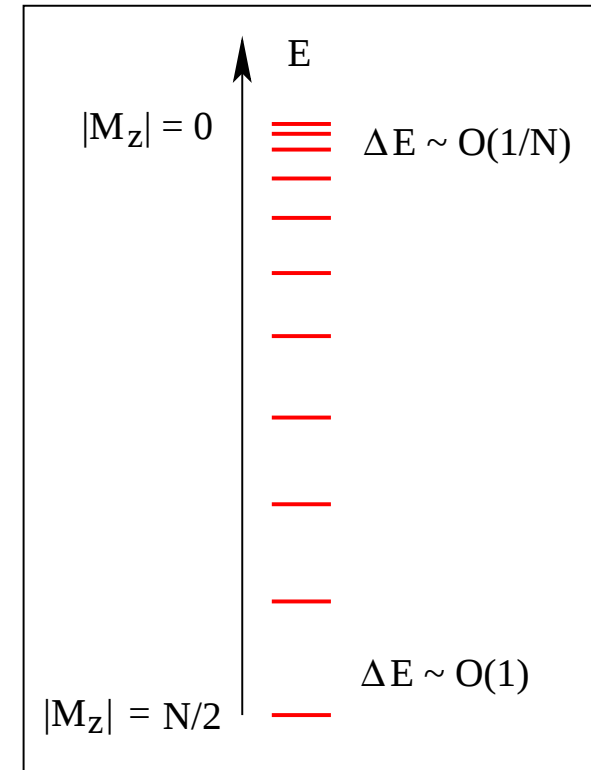


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- $\epsilon = \frac{1}{\pi} \cos(\pi m_z), \quad -\frac{1}{2} \leq m_z \leq +\frac{1}{2},$

Spinon spin interaction:

- $\mathcal{H}_e = -J_e \sum_{i < j} [\sigma_i \sigma_j - 1], \quad \sigma_i = \pm 1, \quad J_e = \frac{2}{\pi N},$
- $\epsilon_e = \frac{1}{\pi} (1 - 4m_z^2), \quad -\frac{1}{2} \leq m_z \leq +\frac{1}{2}.$

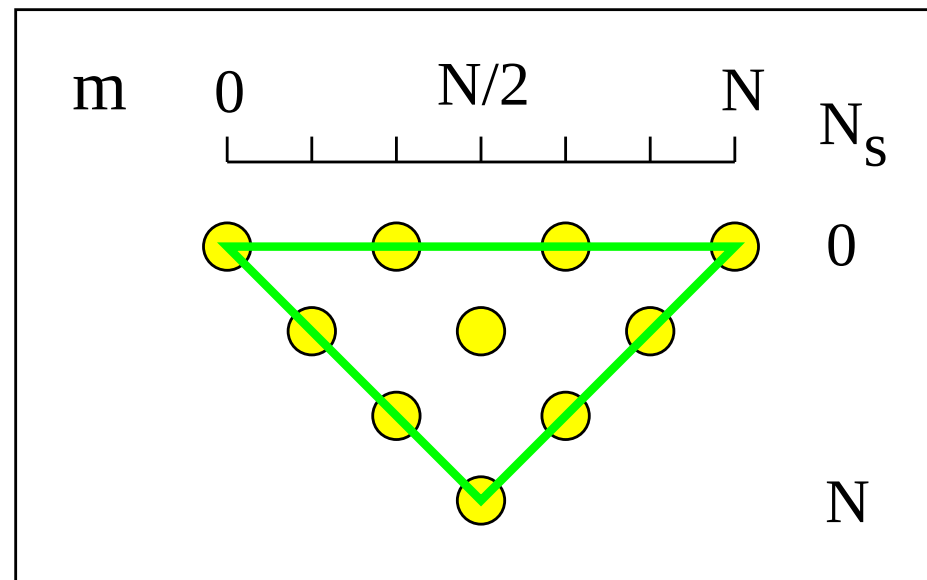


Hund's Rule



Partially filled spinon orbital ($0 < N_s \leq N$).

- filling: $0 \leq n_s \leq 1$,
- magnetization: $|m_z| \leq \frac{n_s}{2}$,
- orbital momentum: $\kappa = \frac{\pi m}{N}$, $\frac{\pi n_s}{2} \leq \kappa \leq \pi - \frac{\pi n_s}{2}$,
- energy: $\epsilon = \frac{1}{\pi} \cos(\pi m_z) \left[2 \sin\left(\frac{\pi}{2} n_s\right) \sin \kappa - 1 \right]$.

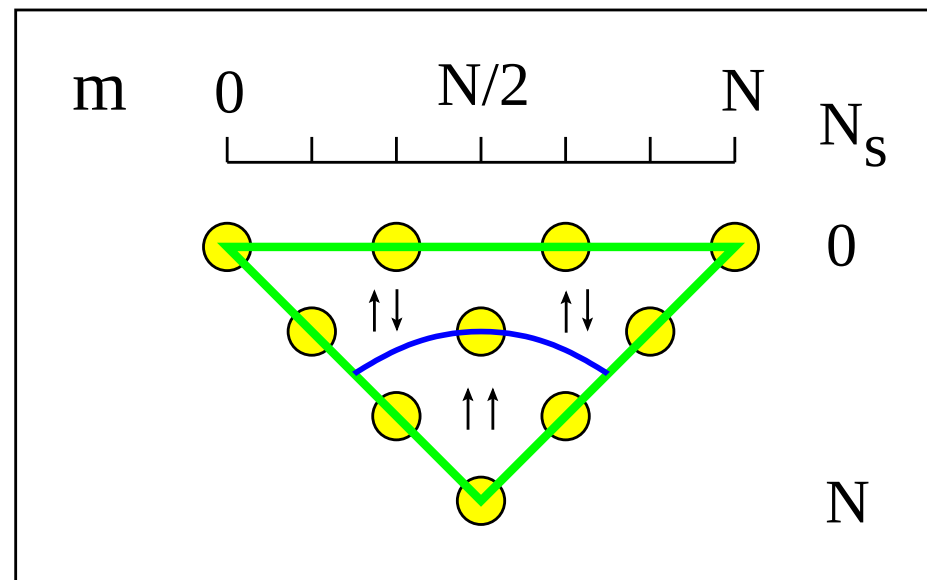


Hund's Rule



Partially filled spinon orbital ($0 < N_s \leq N$).

- filling: $0 \leq n_s \leq 1$,
- magnetization: $|m_z| \leq \frac{n_s}{2}$,
- orbital momentum: $\kappa = \frac{\pi m}{N}$, $\frac{\pi n_s}{2} \leq \kappa \leq \pi - \frac{\pi n_s}{2}$,
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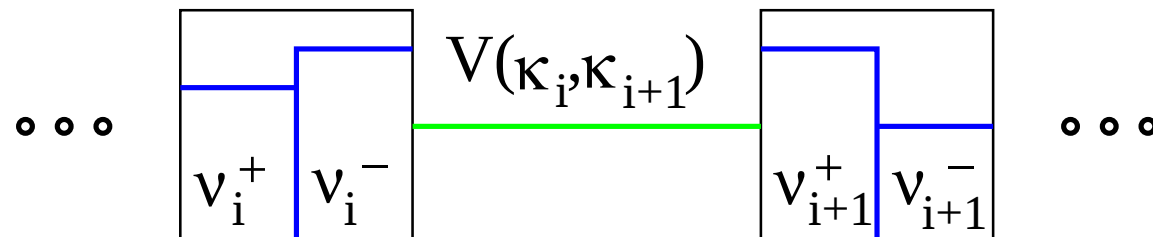


Interacting Spinon Orbitals



Spinons in several orbitals:

- $\nu_s \leq \kappa_1 < \kappa_2 < \dots < \kappa_t \leq \pi - \nu_s,$
- $\nu_i \doteq \frac{\pi N_s^{(i)}}{2N} = \nu_i^+ + \nu_i^-, \quad \mu_i \doteq \frac{\pi M_z^{(i)}}{N} = \nu_i^+ - \nu_i^-, \quad i = 1, 2, \dots, t; \quad \nu_s \doteq \sum_{i=1}^t \nu_i,$
- $\bar{\mu}_{i,k} \doteq \sum_{j=1}^i \mu_j - \sum_{j=k}^t \mu_j, \quad \bar{\nu}_{i,k} \doteq \sum_{j=1}^i \nu_j - \sum_{j=k}^t \nu_j,$
- $\pi\epsilon = \sum_{i=1}^{t-1} \cos \bar{\mu}_{i,i+1} \left\{ \cos \bar{\nu}_{i,i+1} \left[\cos \kappa_{i+1} - \cos \kappa_i \right] + \sin \bar{\nu}_{i,i+1} \left[\sin \kappa_i - \sin \kappa_{i+1} \right] \right\} \\ + \cos \bar{\mu}_{t,t+1} \left\{ \cos \bar{\nu}_{t,t+1} \left[\cos \kappa_1 - \cos \kappa_t \right] + \sin \bar{\nu}_{t,t+1} \left[\sin \kappa_1 + \sin \kappa_t \right] - 1 \right\}.$





XX model

- sl_2 loop symmetry.

HS model

- Yangian symmetry.



XX model

- sl_2 loop symmetry.
- Free fermions ($g = 1$).

HS model

- Yangian symmetry.
- Free pseudomomenta ($g = 2$)



XX model

- sl_2 loop symmetry.
- Free fermions ($g = 1$).
- Interacting spinons ($g = 1/2$).

HS model

- Yangian symmetry.
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- Free fermions ($g = 1$).
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- Spinon scattering:
$$\theta_{XX}(k_i^\sigma - k_j^{\sigma'}) = \pi \operatorname{sgn}(k_i^\sigma - k_j^{\sigma'}) \delta_{\sigma\sigma'}.$$

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- $$E - E_0 = \sum_{\sigma=\pm} \sum_{j_\sigma=1}^{N_\sigma} \sin k_{j_\sigma}^\sigma.$$

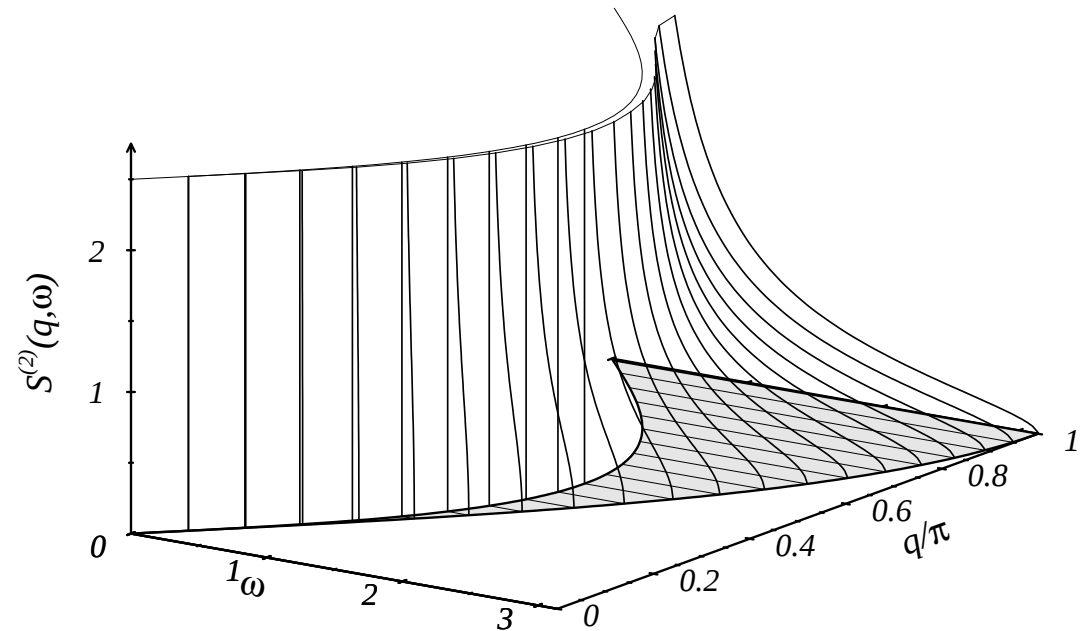
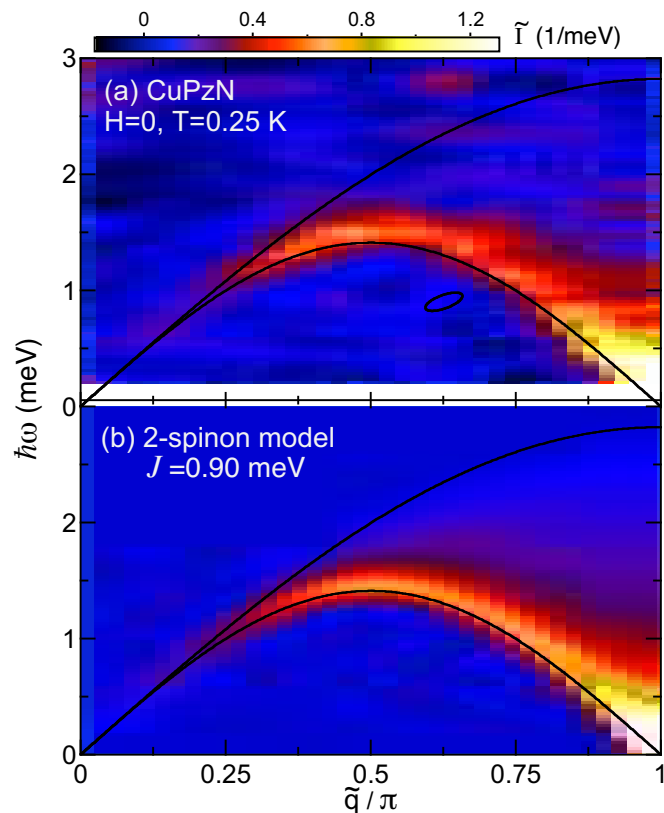
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$$\theta_{HS}(k_i - k_j) = \pi \operatorname{sgn}(k_i - k_j).$$
- $$E - E_0 = -\frac{v_s}{\pi} \sum_{j=1}^{N_s} \kappa_j k_j, \quad \kappa_j = \frac{\pi}{N} m_j.$$

Observation of Spinons in XXX Model



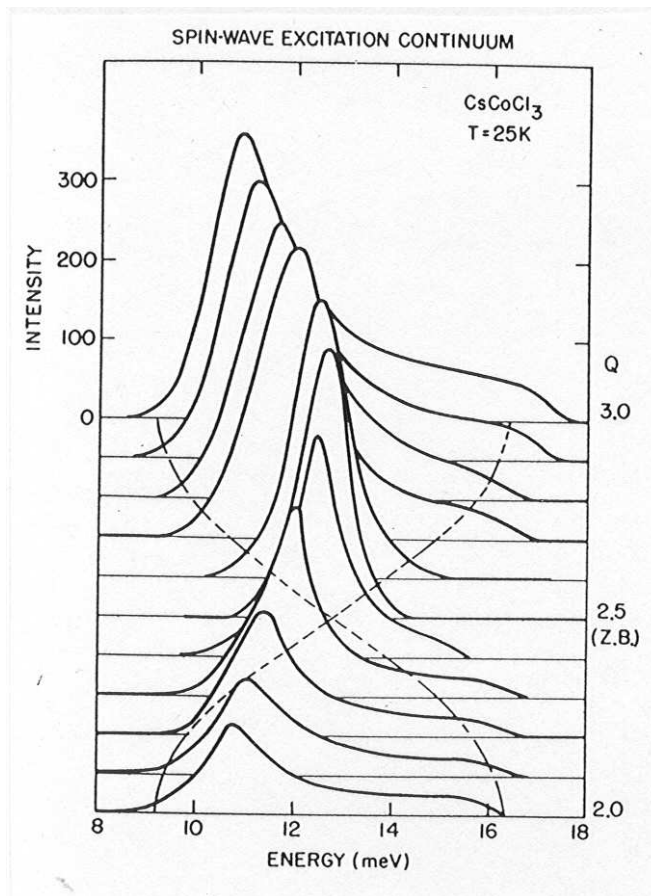
$$S^{(2)}(q, \omega)$$



[Stone, Reich, Broholm, Lefmann, Rischel, Landee, and Turnbull 2003]

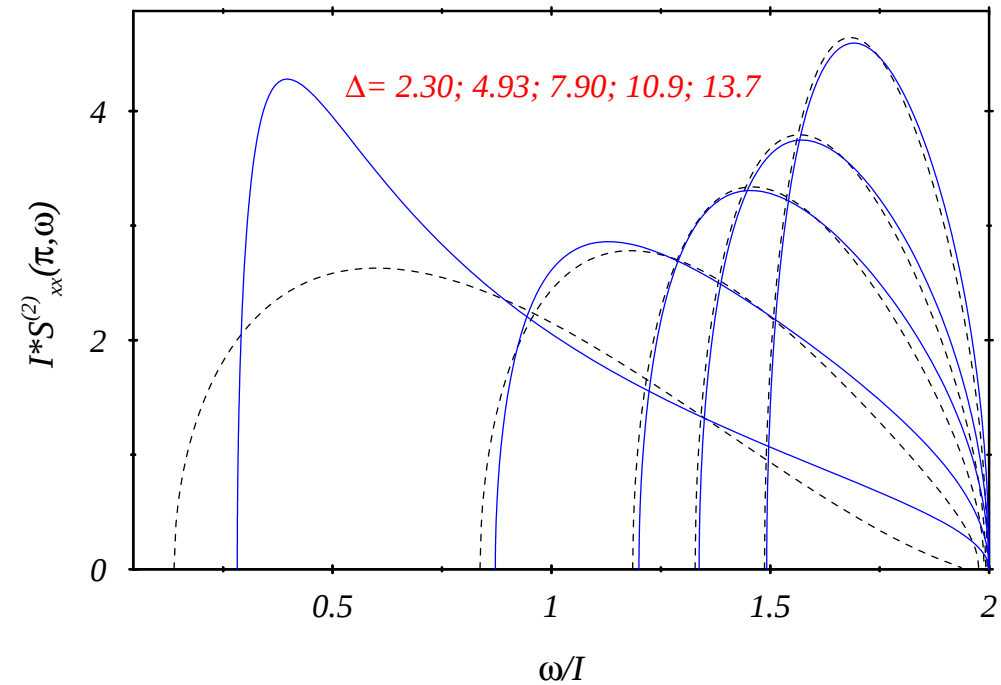
[Karbach, Müller, Bougourzi, Fledderjohann, and Mütter 1997]

Observation of Solitons in Axial XXZ Model



[Yoshizawa, Hirakawa, Satija,
and Shirane 1981]

$$S^{(2)}(\pi, \omega)$$



[Müller and Karbach 2000]

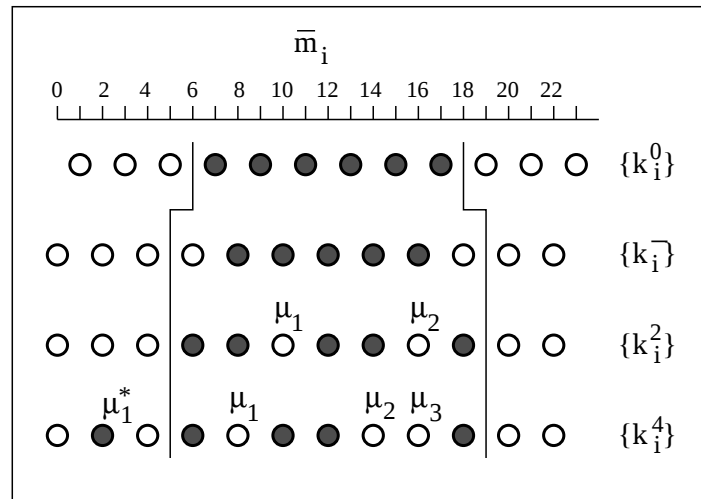


- Physical realizations
- Spinon composition of XX spectrum
- Transition rate expressions
 - Free fermions
[McCoy, Barouch, and Abraham 1971]
 - Algebraic Bethe ansatz
[Korepin 1982, Kitanine, Maillet, and Terras 1999]
 - Product expressions for XX transition rates
[Biegel, Karbach, Müller, and Wiele 2004]
 - Observability
[Arikawa, Karbach, Müller, and Wiele 2006]



Product expressions for $M_{\lambda}^{\pm}(q) \doteq \frac{|\langle \psi_0 | S_q^{\pm} | \psi_{\lambda} \rangle|^2}{\|\psi_0\|^2 \|\psi_{\lambda}\|^2}$:

$$M_{\lambda}^{-}(q) = \frac{\prod_{i < j}^{r-1} \sin^2 \frac{k_i - k_j}{2} \prod_{i < j}^r \sin^2 \frac{k_i^0 - k_j^0}{2}}{\prod_{j=1}^{r-1} N^2 \prod_{i=1}^r \sin^2 \frac{k_i^0 - k_j}{2}}.$$

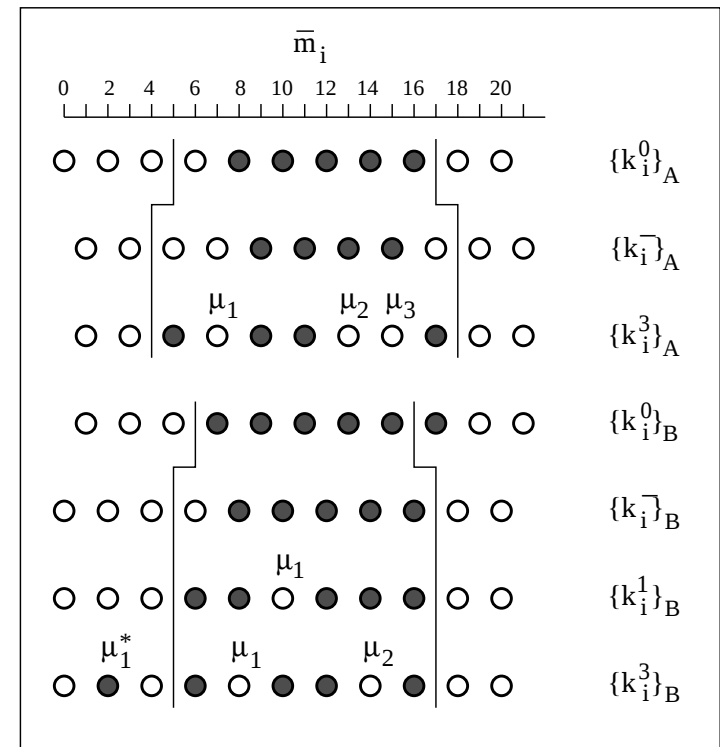
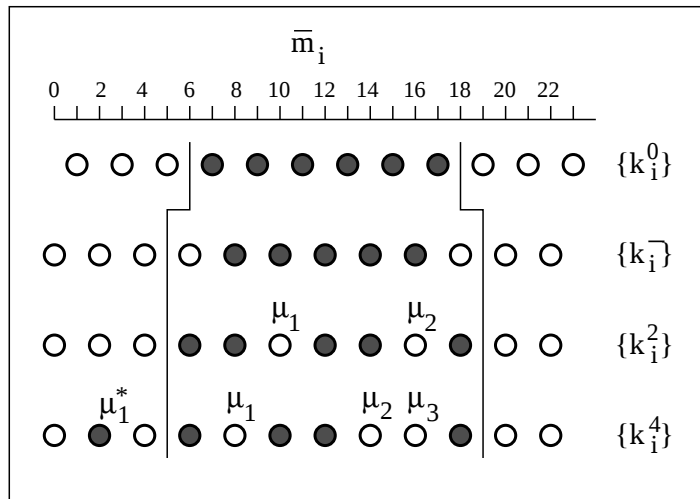


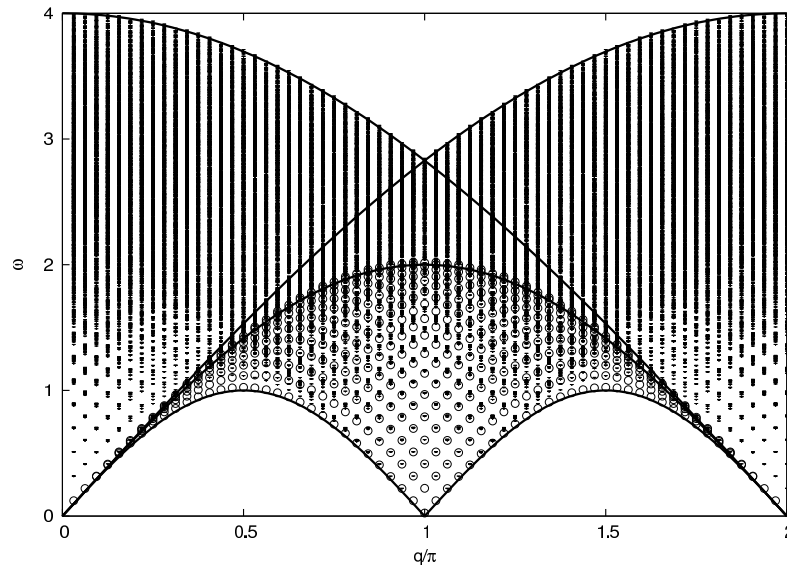
Transition Rates



Product expressions for $M_{\lambda}^{\pm}(q) \doteq \frac{|\langle \psi_0 | S_q^{\pm} | \psi_{\lambda} \rangle|^2}{\|\psi_0\|^2 \|\psi_{\lambda}\|^2}$:

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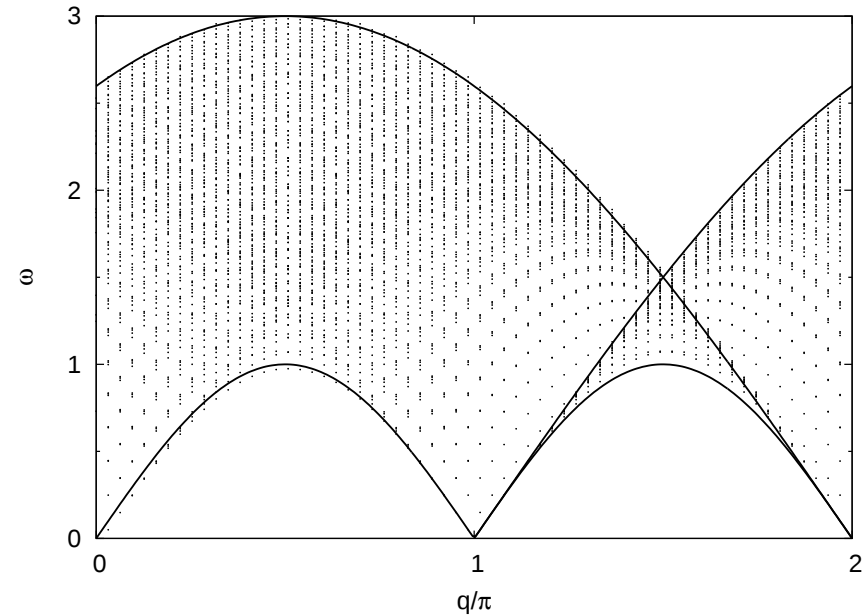


2-spinon spectrum:

$$\epsilon_{2L}(q) = \epsilon_{4L}(q) = |\sin q|$$

4-spinon spectrum: $\epsilon_{2U}(q) = 2 \left| \sin \frac{q}{2} \right|$

$$\epsilon_{4U}(q) = 4 \max \left[\left| \sin \frac{q}{4} \right|, \left| \sin \frac{q - 2\pi}{4} \right| \right]$$



1-spinon spectrum:

$$\epsilon_1(q) = \epsilon_{3L}(q) = |\sin q|$$

3-spinon spectrum:

$$\epsilon_{3U}(q) = 3 \max \left[\left| \sin \frac{q}{3} \right|, \left| \sin \frac{q - 2\pi}{3} \right| \right]$$



1-spinon dynamic structure factor:

$$S_{-+}^{(1)}(q, \omega) \stackrel{a}{=} \frac{C}{4\sqrt{N}} \tan \frac{q}{2} \delta(\omega - |\sin q|)$$

2-spinon dynamic structure factor:

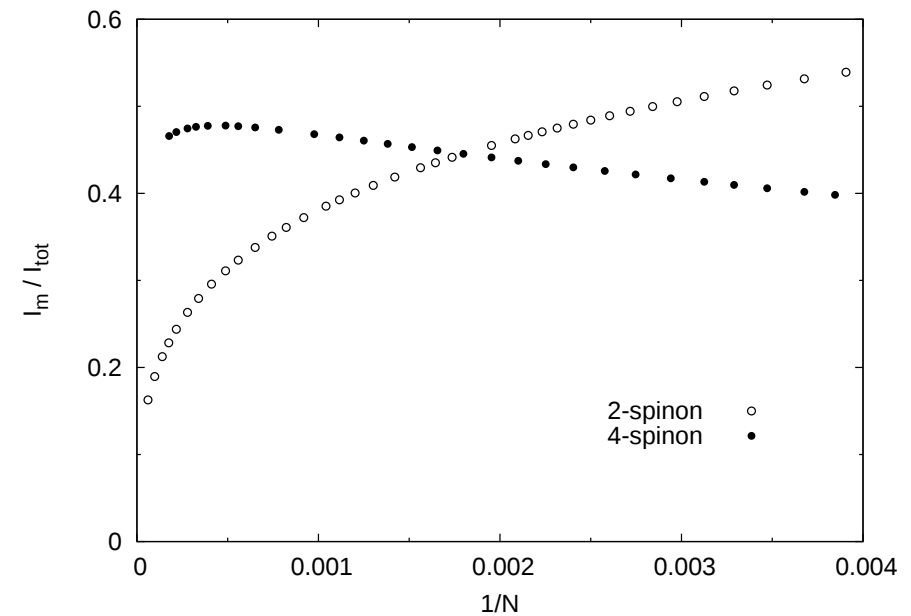
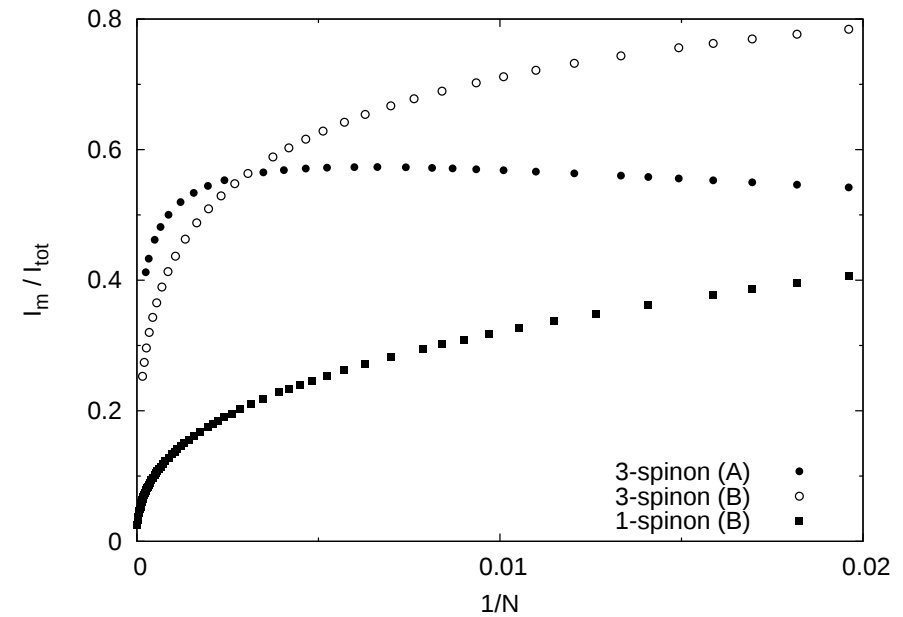
$$S_{-+}^{(2)}(q, \omega)_0 \stackrel{a}{=} \frac{2C}{\pi\sqrt{N}} \frac{\sqrt{4 \sin^2(q/2) - \omega^2}}{\omega^2 - \sin^2 q}$$

1-spinon dynamic structure factor:

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2-spinon dynamic structure factor:

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